

Canadian Lawyers Liability Assurance Society

2019/2020 Renewal Application for  
Excess Professional Liability Insurance

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This application is made by the undersigned member (the "Firm") of the Canadian Lawyers Liability Assurance Society ("CLLAS") for issuance by CLLAS to the Firm of policies of professional liability insurance.

**Note:** *The policies applied for are "claims made" policies and only provide coverage for claims first made against the Insured during the policy period.*

Please answer ALL questions. Where space to answer is insufficient, attach a separate sheet.

1. Name of Firm (Named Insured): Cassels Brock & Blackwell LLP

2. Address of principal office: 2100-40 King Street West  
Toronto, ON M5H 3C2

Phone: (416) 869-5300 Fax: (416) 360-8877

3. Address, phone and fax numbers of other office(s):  
See attached.

4. Management or service companies, date(s) established and services provided:  
See attached.

5. Is the Firm a multi-disciplinary partnership ("MDP")? ☐ yes ☒ no

If "yes", provide date MDP was established and name the non-lawyer partners and their respective disciplines.

Question 3: Address, phone and fax numbers of other office(s):

Location	Address	Phone number	Fax number
Vancouver, BC, Canada	Suite 2200, HSBC Building 855 West Georgia Street Vancouver, BC V6C 3E8	604-691-6100	604-691-6120
Calgary, AB, Canada	Suite 3810, Bankers Hall West 888-3rd Street W Calgary, AB T2P 5C5	403-351-2920	403-648-1151

Question 4: Management or service companies, dates(s) established and services provided:

Name	Date Established	Services Provided
Scougall Services Limited Partnership	1998	Administrative and Staffing Services
CBB Services (BC) Limited	2012	Attorney for Service (Corporate Records)
Scougall Management (1987) Limited	1987	Agency services for Toronto and Vancouver office rent, leasehold improvements and related financing.

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6. Since the most recent CLLAS application, has the name of the Firm been changed, or has any firm merged into the Firm? If so, give full particulars (including the number of lawyers merged into the Firm in each such situation) unless previously provided.

Not applicable.

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7. Attached as Appendix A is a list of the Firm's predecessor firms resulting from mergers since July 1, 1987. Is the list complete?

☒ yes ☐ no

If "no", please provide update.

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

8. Please complete Appendices B and C to provide the following details as of March 1, 2019:

- a) Number of lawyers (including partners, employed lawyers, counsels/of counsels and lawyer consultants).
- b) Number of patent & trademark agents (who are not lawyers).
- c) Number of other non-lawyer consultants.
- d) Number of paralegals.
- e) Number of other employees.
- f) If applicable, the number of lawyers who are not partners, employed lawyers, counsels/of counsels or lawyer consultants of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm. Please identify such individuals and professional corporations as requested in Appendix B.

Note: A common professional corporation structure is one where the lawyer remains a partner of the firm but the firm contracts with a professional corporation to provide the services of the partner to the firm via the professional corporation. Those lawyers would be accounted for in a) above. Question f) is intended to address an alternative structure whereby the professional corporation itself is a partner of the firm and it contracts directly or via another professional corporation with a lawyer to provide professional services.

9. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law:

	<u>This Year</u>		<u>Last Year</u>	
a) Corporate and Commercial Law	35.7	%	29.9	%
b) Criminal Law	0	%	0	%
c) Family Law	0	%	0	%
d) Intellectual Property	4.1	%	4.6	%
e) Labour Law	2	%	1.8	%
f) Litigation	12.6	%	12.9	%
g) Real Estate	7.7	%	8.1	%
h) Securities Law	12.8	%	15.0	%
i) Tax Matters	1.6	%	2.1	%
j) Wills, Estates, Trust	0.2	%	0.5	%
k) Other (please specify)	23.3	%	25.2	%
Financial Services				

10. Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLLAS application? ☐ yes ☒ no

If "yes", please provide full details:

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11. Attached as Appendix D is a description of the "Associated Firms" and "Umbrella Firms" which are identified in the Associated Firm Endorsement (Endorsement No. 1) of the current CLLAS Primary Policy. Please verify, update where appropriate and advise CLLAS of any anticipated changes.
12. Canadian law society programs may restrict coverage if Professional Services are provided outside of Canada or if the Professional Services relate to non-Canadian law. The CLLAS Primary Policy also excludes coverage for lawyers providing Professional Services from a U.S. office as well as the practice of non-Canadian law. Please provide details of such services in Appendix E.
13. Attached as Appendix F is a schedule of claims and notices which have been given to the applicable law society and CLLAS as of December 31, 2018. Please verify and provide any changes in status. All known claims or notices that are not on the schedule, including those reported to any underlying insurance carrier, should be reported up to the date of this application. **Notices on this application are not considered proper notice of a claim.**

If applicable, CLLAS will also require an update on claims of your predecessor firms which were reported prior to any merger which are paid or currently reserved excess of \$500,000.

Note: Details required on all claims or notices are: name of lawyer, name of claimant, date claim reported, error date, date claim closed (if applicable), a brief description of the claim, including damages sought, amount paid (legal & indemnity) and amount reserved (legal & indemnity).

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14. Will the Firm purchase coverage under the CLLAS optional excess layer?

☒ yes      ☐ no

If "yes", please indicate preferred limit option:

<input type="checkbox"/> \$10M xs \$160M	<input type="checkbox"/> \$20M xs \$160M
<input type="checkbox"/> \$30M xs \$160M	<input type="checkbox"/> \$40M xs \$160M
<input type="checkbox"/> \$50M xs \$160M	<input checked="" type="checkbox"/> \$60M xs \$160M

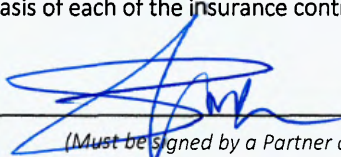
15. Under Appendix G, please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

16. Please complete Appendix H to provide underwriting information with respect to cyber liability.

17. Please attach as Appendix I copy of the Firm's 2019 Professional Liability Insurance Application and Exemption Form submitted to LawPro.

The undersigned hereby declares that the above statements and particulars, including those set forth in Appendices A through I, are true and that no material facts have been omitted, suppressed or misstated and that this application, which is deemed to include the information from any previous applications completed by the Firm for CLLAS, shall be the basis of each of the insurance contracts with CLLAS.

Signature: \_\_\_\_\_



(Must be signed by a Partner of the Firm)

Name of Signatory: \_\_\_\_\_

**Gordon Peter Goodman**

(Who shall be the designated contact person between CLLAS & the Firm as respects this insurance.)

Date: \_\_\_\_\_

March 27, 2019

APPENDIX A

PREDECESSOR FIRMS

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Name of Firm: Cassels Brock & Blackwell LLP

# APPENDIX B

## ACTIVE MEMBERS OF THE FIRM AS OF ~~MARCH 1~~, 2019

March 26

Name of Firm: Cassels Brock & Blackwell LLP

	CANADA					OUTSIDE OF CANADA <sup>/5</sup>	
	B.C.	Alberta	Ontario	Quebec	Other Provinces (Please specify)	U.S.	Other Locations
a) No. of Lawyers <sup>/1</sup>	21	3	149				
b) No. of Patent & Trademark Agents <sup>/2</sup>	0	0	0				
c) No. of Non-lawyer Consultants <sup>/3</sup>	1	0	0				
d) No. of Paralegals	12	1	30				
e) No. of Other Employees	19	13	231				
f) No. of lawyers who are not employees of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm <sup>/4</sup>	10	12	45				

<sup>/1</sup> Including partners, employed lawyers, counsels/of counsels and lawyer consultants.

<sup>/2</sup> These are not lawyers.

<sup>/3</sup> Please complete Appendix C if individuals are reported under this category.

<sup>/4</sup> Lawyers reported here should not be included under a). (See note at Question 8.f) of the application.)

<sup>/5</sup> Please complete Question 3 of Appendix E to provide further information on lawyers reported under these columns.

*Please attach a list of the lawyers reported under a) above, showing in each case his/her full name, date of call, date joined the Firm and, if applicable, date became partner.*

*Please attach a list of the names of the individuals reported under f) above, together with the names of the professional corporations to which they provide services.*

*If underlying insurance is purchased outside any Canadian mandatory law society program for lawyers, please provide full details under Appendix E, Question 4.*

If members of the Firm, either alone or with others, engage in the conduct of any profession or business other than the practice of law (e.g. financial management, mortgage brokering or other consulting; underwriting or brokering of securities or investment banking activities; real estate appraisal; actuarial analysis) either directly or indirectly as an agent, employee or partner of any organization, please give full particulars.



# List of Active Lawyers by City As at March 26, 2019

	TKID	Last	First	Title	Bar Date	Loc.	Practice Group	LSUC	CAL	TOR	VAN	Associate	Partner
1	06189	Barretto	Jeremy	PARTNER	1/1/2011	CAL	Business Law	16456	1				1
2	05981	Blyth	Stuart	PARTNER	1/1/1983	CAL	Business Law	6236	1				1
3	05936	Fountain	Sherri	PARTNER	1/1/1990	CAL	Business Law	8339	1				1
4	06164	Hamilton	Robert	ASSOCIATE	9/1/2018	CAL	Business Law	20631 (AB)	1			1	
5	05969	Hocho	Josef W.	PARTNER	1/1/1995	CAL	Business Law	10027	1				1
6	05990	Holowachuk	Jason M.	PARTNER	1/1/2000	CAL	Advocacy	11743	1				1
7	04542	Livingstone	Laurie	PARTNER	9/1/2002	CAL	Advocacy	46934Q; LSA:15926	1				1
8	05991	Low	Evan D.	PARTNER	1/1/2002	CAL	Business Law	12348	1				1
9	05933	Marechal	Danielle	ASSOCIATE	3/1/2014	CAL	Financial Services	18183	1			1	
10	06198	McLelland	Chris	ASSOCIATE	1/1/2010	CAL	Business Law	16246 (AB)	1			1	
11	05932	Oliver	Jeffrey	PARTNER	1/1/2011	CAL	Financial Services	16822	1				1
12	05970	Rein	Kenton	PARTNER	1/1/1998	CAL	Financial Services	47515G; LSA:10898	1				1
13	06032	Richardson	Doug	PARTNER	1/1/1988	CAL	Business Law	LSA: 7971	1				1
14	05935	Templeton	Keith	PARTNER	1/1/1988	CAL	Business Law	7848	1				1
15	06135	Zuniga	Daniel A.	PARTNER	1/1/2013	CAL	Business Law	17694 (AB)	1				1
	06181	Bonnar	Kim	ADMINISTRATION	1/1/2010	CORP	Professional Resources Committee	58834J					
16	05886	Leo	Shannon	ADMINISTRATION	1/1/2004	CORP	Professional Resources Committee	49595D		1		1	
17	06195	Teehan	Nicole	ADMINISTRATION	1/1/2010	CORP	Professional Resources Committee	59002L		1		1	
18	00259	Abramson	Lanning J.	PARTNER	1/1/1977	TOR	Financial Services	16589I		1		1	
19	05086	Abudulal	Suhayini	PARTNER	1/1/2009	TOR	Financial Services	57316G	1				1
21	04515	Accursi	Chad	PARTNER	1/1/2001	TOR	Business Law	44483S	1				1
22	05559	Alter	Matthew	PARTNER	1/1/1987	TOR	Advocacy	26798Q	1				1
23	05841	Anand	Gaurav	ASSOCIATE	9/1/2018	TOR	Business Law	74885O	1			1	
24	04757	Arbuck	Jason	PARTNER	1/1/1999	TOR	Financial Services	42178M	1				1
25	06264	Arnone	Frank P.	PARTNER	1/1/1990	TOR	Business Law	30938T	1				1
26	05895	Ashmeade	Taschina	ASSOCIATE	7/1/2018	TOR	Financial Services	75500I	1			1	
27	04536	Bellissimo	Joseph J.	PARTNER	9/1/2002	TOR	Financial Services	46555R; LSA:20211	1				1
28	00264	Bennett	Mark T.	PARTNER	1/1/1995	TOR	Business Law	36620P	1				1
29	05611	Berman	Wendy	PARTNER	1/1/1992	TOR	Advocacy	ON: 32748J; BC: 512569	1				1
30	00302	Birch	John N.	PARTNER	1/1/1997	TOR	Advocacy	38968U	1				1
31	04974	Blackburn	Jed	PARTNER	1/1/2008	TOR	Advocacy	55767C	1				1
32	05896	Blair	Lucas	ASSOCIATE	9/1/2018	TOR	Financial Services	74914E	1			1	
33	06182	Blom	Randall	ASSOCIATE	9/1/2016	TOR	Business Law	70229E	1				1
34	05773	Blumberg	Robyn	ASSOCIATE	9/1/2016	TOR	Advocacy	70230L	1			1	
35	04531	Boivin	Andre	PARTNER	1/1/1999	TOR	Business Law	41824A	1				1
36	05485	Bornstein	Jeremy	ASSOCIATE	9/1/2014	TOR	Financial Services	65425C	1			1	
37	06167	Britton	Michael	ASSOCIATE	9/1/2018	TOR	Business Law	74926N	1				1
38	06191	Brown	Graham	ASSOCIATE	1/1/2012	TOR	Advocacy	61421U	1			1	
39	00745	Bullen	Jason K.S.	PARTNER	1/1/1999	TOR	Business Law	42203S	1				1
40	00119	Burden	William J.	SENIOR COUNSEL	1/1/1976	TOR	Advocacy	15550F	1				1
41	05668	Byers	Kate	ASSOCIATE	6/23/2015	TOR	Advocacy	67695I	1			1	
42	05073	Campbell	Jennifer	PARTNER	1/1/2000	TOR	Business Law	42811G	1				1
43	05694	Chen	Xi	ASSOCIATE	9/1/2016	TOR	Business Law	69939U	1			1	
44	04649	Chisick	Casey M.	PARTNER	1/1/1999	TOR	Business Law	46572R	1				1
45	04968	Choi	Nancy	PARTNER	1/1/2005	TOR	Business Law	50708C	1				1
46	04551	Chummar	Noble	PARTNER	2/1/2002	TOR	Business Law	45616Q	1				1
47	05843	Clarifariello	Marco	ASSOCIATE	9/1/2017	TOR	Business Law	72837O	1			1	
48	06034	Cipollone	Daniel P.	ASSOCIATE	1/1/2015	TOR	Financial Services	68041K	1			1	
49	04924	Clements	Lindsay	PARTNER	2/1/2002	TOR	Business Law	45362J	1				1
50	05993	Coggin	Marisa	ASSOCIATE	9/1/2014	TOR	Financial Services	65838N	1			1	
51	00236	Cohen	Robert B.	PARTNER	1/1/1991	TOR	Advocacy	ON: 32187D; BC: 510806	1				1
52	05468	Cohen	Carly	ASSOCIATE	9/1/2013	TOR	Advocacy	64355G	1			1	
53	06092	Constantine	Maria	ASSOCIATE	1/1/2014	TOR	Advocacy	65457W	1				1
54	05506	Craddock	Erin	COUNSEL	1/1/2009	TOR	Financial Services	62828J	1			1	
55	00258	Craig	John H.	SENIOR COUNSEL	1/1/1973	TOR	Business Law	13475H	1				1
56	06175	DeCosimo	Michael C.	PARTNER	1/1/1999	TOR	Business Law	41656R	1				1
57	05649	DeLuca	Frank	PARTNER	1/1/1998	TOR	Business Law	40416E	1				1
58	06219	Di Francesco	Stefanie	ASSOCIATE	9/1/2014	TOR	Advocacy	65857F	1			1	
59	05762	Dietrich	Jane	PARTNER	1/1/2004	TOR	Financial Services	49302U	1				1
60	00284	Dominique	Brian P.	PARTNER	1/1/1989	TOR	Business Law	29839C	1				1
61	06145	El Ofir	Miriam	ASSOCIATE	9/1/2017	TOR	Business Law	72541Q	1			1	
62	05448	Ellis	Larry	PARTNER	1/1/2004	TOR	Financial Services	49313K	1				1
63	06101	Engle-Hardy	Noelle	ASSOCIATE	9/1/2013	TOR	Business Law	63639H	1				1
64	05671	Fender	Hilary	ASSOCIATE	6/26/2015	TOR	Financial Services	68406U	1			1	
65	00783	Fitzgerald	Andrea	PARTNER	1/1/2000	TOR	Business Law	42973V	1				1
66	04999	Fleisher	Jonathan	PARTNER	1/1/1989	TOR	Financial Services	29476H	1				1
67	05285	Frankel	Ted	PARTNER	1/1/2004	TOR	Advocacy	49784Q	1				1
68	05509	Freeman	Jonathan	PARTNER	1/1/2005	TOR	Financial Services	51289L	1				1
69	05239	Gardos	David	PARTNER	1/1/2010	TOR	Business Law	58577D	1				1
70	06254	Garraway	Stephanie	ASSOCIATE	9/1/2017	TOR	Advocacy	72223E	1			1	
71	05965	Geary	Nicola	PARTNER	1/1/2004	TOR	Business Law	48930I	1				1
72	05912	Gibson	Christie E.	ASSOCIATE	1/1/2014	TOR	Advocacy	65514C	1			1	
73	00283	Glickman	Leonard	PARTNER	1/1/1985	TOR	Business Law	25356I	1				1
74	05071	Goldman	Jay	PARTNER	1/1/1990	TOR	Business Law	30670L	1				1
75	05776	Goodis	Benjamin	ASSOCIATE	9/1/2016	TOR	Financial Services	70303H	1			1	
76	00216	Goodman	Gordon P.	PARTNER	1/1/1991	TOR	Financial Services	32266J	1				1
77	00213	Gottlieb	Maxwell	PARTNER	1/1/1977	TOR	Business Law	16808H	1				1
78	04641	Gray	Tilly	DIRECTOR	1/1/2004	TOR	Transaction Services	49559L	1				1
79	05339	Grieve	Deborah S.	PARTNER	1/1/1986	TOR	Financial Services	26202G	1				1
80	05846	Greenewald	Rowan	ASSOCIATE	9/1/2017	TOR	Business Law	72890G	1			1	
81	05777	Grossman	Lauren	ASSOCIATE	9/1/2016	TOR	Financial Services	70597J	1			1	
82	05027	Ground	Colin	PARTNER	1/1/2001	TOR	Business Law	44563U	1				1
83	04781	Hall	Terence	PARTNER	1/1/1983	TOR	Financial Services	23072U	1				1
84	00291	Hamilton	Arthur L.	PARTNER	1/1/1997	TOR	Advocacy	39474W	1				1
85	05148	Hardy	Shane	PARTNER	2/1/2002	TOR	Business Law	45423T	1				1
86	05727	Hayman	Alison	PARTNER	1/1/2003	TOR	Business Law	48716Q	1				1
87	05564	Henderson	Stephen	ASSOCIATE	9/1/2014	TOR	Business Law	65534N	1			1	
88	04642	Henein	Peter	PARTNER	1/1/2004	TOR	Advocacy	49330K	1				1



List of Active Lawyers by City  
As at March 26, 2019

	TKID	Last	First	Title	Bar Date	Loc.	Practice Group	LSUC	CAL	TOR	VAN	Associate	Partner
89	04938	Hersh	Chris	PARTNER	1/1/2000	TOR	Business Law	43080N		1			
90	05977	Hinman	Pamela J.	ASSOCIATE	1/1/2015	TOR	Advocacy	68438R		1		1	
91	05082	Hogan	Greg	PARTNER	1/1/1999	TOR	Business Law	422590		1			1
92	06080	Hojilla	Pamela	ASSOCIATE	1/1/2012	TOR	Business Law	618775 (ON)		1		1	
93	05234	Holland	Stefanie	PARTNER	1/1/2010	TOR	Advocacy	58204S		1			1
94	05380	Horkins	Christopher	PARTNER	1/1/2012	TOR	Advocacy	61880R		1			1
95	05857	Hylton	Marlon	PARTNER	5/7/2010	TOR	Advocacy	58608I		1			1
96	00317	Iliopoulos	Alexandra	PARTNER	1/1/1998	TOR	Business Law	40901D		1			1
97	05612	Jackson	Lara	PARTNER	1/1/1999	TOR	Advocacy	41858M; LSBC:512546		1			1
98	05761	Jacobs	Ryan	PARTNER	1/1/2011	TOR	Financial Services	59510J		1			1
99	06100	Jakibchuk	Adrian	PARTNER	1/1/2006	TOR	Advocacy	ON: 52864R		1			1
100	05971	Jason	John	COUNSEL	1/1/1988	TOR	Financial Services	28484V		1		1	
101	05280	Jessome	Laurie	PARTNER	1/1/2003	TOR	Advocacy	48080W		1			1
102	05521	Jimeno	Natasha	PARTNER	1/1/2011	TOR	Financial Services	60137J		1			1
103	04720	Kapsales	Tom	PARTNER	1/1/1998	TOR	Business Law	40502Q		1			1
104	04533	Karn	Bernice	PARTNER	1/1/1986	TOR	Business Law	25846K		1			1
105	06224	Keating	Marisa	ASSOCIATE	1/1/2015	TOR	Advocacy	68463T		1		1	
106	05695	Kelman	David	ASSOCIATE	9/1/2016	TOR	Advocacy	70336A		1			1
107	05595	Kennedy	Steven	COUNSEL	1/1/2009	TOR	Business Law	56576I		1			1
108	05847	Kerzner	Stephanie	ASSOCIATE	9/1/2017	TOR	Advocacy	73238M		1		1	
109	05604	Khullar	Shaun	PARTNER	1/1/2009	TOR	Business Law	57154K		1			1
110	04643	King	Jay	PARTNER	1/1/2004	TOR	Business Law	49821I		1			1
111	00072	Kligman	Robert D.	PARTNER	1/1/1980	TOR	Advocacy	19947K		1			1
112	05759	Kukulowicz	Shayne	PARTNER	1/1/1990	TOR	Financial Services	30729S; LSA:19716		1			1
113	06226	Kuredjian	Jessica L.	ASSOCIATE	1/1/2015	TOR	Advocacy	68794N		1		1	
114	05952	Lai	Kristen	ASSOCIATE	1/1/2015	TOR	Advocacy	68146D		1		1	
115	04698	LaPalme	Laurie	PARTNER	1/1/2000	TOR	Financial Services	43511B		1			1
116	05897	Lau	Florence	ASSOCIATE	9/1/2018	TOR	Business Law	74651W		1		1	
117	05898	Lee	Conrad	ASSOCIATE	9/1/2018	TOR	Business Law	74657V		1		1	
118	04557	Leisk	Signe	PARTNER	2/1/2002	TOR	Advocacy	45455Q		1			1
119	06216	Leith	Ben	PARTNER	1/1/2008	TOR	Financial Services	55875R		1			1
120	05778	Lestcz	Noah	ASSOCIATE	9/1/2016	TOR	Business Law	70041V		1		1	
121	05763	Levine	Natalie	PARTNER	1/1/2013	TOR	Financial Services	64908K		1			1
122	06140	Levinsky	Derek W.	ASSOCIATE	1/1/2013	TOR	Financial Services	64124C (ON), 6303818 (Illinois)		1		1	
123	05957	Li Parizeau	Tera	ASSOCIATE	9/1/2013	TOR	Business Law	63748S		1		1	
124	05527	Litchen	Jamie	PARTNER	9/1/2013	TOR	Business Law	64915Q		1			1
125	05094	Lungu	Michael	PARTNER	1/1/2009	TOR	Business Law	57488P		1			1
126	05779	Lyle	James	ASSOCIATE	9/1/2016	TOR	Business Law	70051P		1		1	
127	05084	Lysy	Robert	PARTNER	2/1/2002	TOR	Business Law	45862F		1			1
128	05078	Maniaci	Sean	PARTNER	1/1/2001	TOR	Business Law	44616F		1			1
129	00211	Manzer	Allison R.	PARTNER	1/1/1979	TOR	Financial Services	18514A		1			1
130	05385	Martin	Jeremy	ASSOCIATE	1/1/2012	TOR	Advocacy	61610K		1		1	
131	05849	Massie	Samuel	ASSOCIATE	9/1/2017	TOR	Financial Services	72958L		1		1	
132	05244	Mayzel	Eric	PARTNER	1/1/2010	TOR	Advocacy	58663P		1			1
133	00181	McGowan	John P.	PARTNER	1/1/1986	TOR	Advocacy	26754K		1			1
134	00255	Mercer	Cathy L.	PARTNER	1/1/1986	TOR	Business Law	25728B		1			1
135	04736	Mercier	Marc	PARTNER	1/1/1993	TOR	Financial Services	35009R		1			1
136	05788	Metallo	Amanda	ASSOCIATE	9/1/2017	TOR	Business Law	73282G		1		1	
137	05529	Mikelberg	Jeffrey	ASSOCIATE	9/1/2013	TOR	Business Law	63778A		1		1	
138	04506	Mingay	Cameron A.	PARTNER	1/1/1982	TOR	Business Law	21789J		1			1
139	05461	Mohajer	Ardy	ASSOCIATE	9/1/2013	TOR	Financial Services	64160R		1		1	
140	05850	Moher	Sophie	ASSOCIATE	9/1/2017	TOR	Financial Services	72317H		1		1	
141	05923	Morand	James	PARTNER	1/1/1989	TOR	Business Law	29609R		1			1
142	05781	Murphy	Alexandra	ASSOCIATE	9/1/2016	TOR	Advocacy	71003C		1		1	
143	04737	Newman	Charles	PARTNER	2/1/1997	TOR	Financial Services	39215F		1			1
144	05899	Ngo	Richard	ASSOCIATE	9/1/2018	TOR	Financial Services	74715U		1		1	
145	05918	Nicholas	A. Chandimal	COUNSEL	1/1/2006	TOR	Business Law	52626H		1		1	
146	00087	Norton	Christopher B.	PARTNER	1/1/1983	TOR	Business Law	22845E		1			1
147	05900	O'Brien	Tegan	ASSOCIATE	9/1/2018	TOR	Advocacy	75184H		1		1	
148	6161	O'Donnell	Katie-May	ASSOCIATE	1/28/2019	TOR	Financial Services	76333J		1			1
149	05531	Omokhodion	Patience	PARTNER	1/1/2014	TOR	Financial Services	65231J		1			1
150	06102	Osborne	W. Michael G.	PARTNER	1/1/1998	TOR	Advocacy	40976K		1			1
151	00079	Page	S. John	PARTNER	1/1/1980	TOR	Advocacy	19650Q		1			1
152	05305	Pendrith	Colin	PARTNER	9/1/2011	TOR	Advocacy	59912H		1			1
153	00221	Peterson	David R.	SENIOR COUNSEL	1/1/1969	TOR	Business Law	11660O		1		1	
154	06002	Phillips	Jackson	ASSOCIATE	9/1/2014	TOR	Business Law	66430E		1		1	
155	05976	Picone	John M.	PARTNER	1/1/2010	TOR	Advocacy	58406N		1			1
156	05746	Pilkington	Adrianna	PARTNER	1/1/2010	TOR	Advocacy	59037T		1			1
157	00053	Pinos	J.D. Timothy	PARTNER	1/1/1980	TOR	Advocacy	ON: 20027U; BC: 510832		1			1
158	05133	Pizale	Alexander	PARTNER	1/1/2007	TOR	Business Law	53817A		1			1
159	00064	Plener	Sheldon	PARTNER	1/1/1978	TOR	Business Law	17904B		1			1
160	05789	Podolsky	Chad	ASSOCIATE	9/1/2017	TOR	Business Law	73313L		1		1	
161	06168	Politano	Stefan	ASSOCIATE	9/1/2017	TOR	Business Law	73009A		1		1	
162	05465	Potter	Carla	PARTNER	9/1/2013	TOR	Financial Services	64743D		1			1
163	05901	Qin	Jasmine	ASSOCIATE	9/1/2018	TOR	Business Law	75663T		1		1	
164	06053	Ramgoolam	Ross R.	ASSOCIATE	1/1/2012	TOR	Financial Services	61984O		1		1	
165	00309	Reback	Andrew	PARTNER	1/1/1994	TOR	Business Law	35302P		1			1
166	00197	Redmond	David A.	PARTNER	1/1/1987	TOR	Financial Services	27474Q		1			1
167	00162	Reeve	J. Brian	PARTNER	1/1/1982	TOR	Financial Services	21828Q		1			1
168	06020	Rich	Chuck	PARTNER	1/1/2000	TOR	Financial Services	42930L		1			1
169	06036	Roberts	Stephanie	ASSOCIATE	1/1/2013	TOR	Business Law	64583W		1		1	
170	04983	Robinson	Frank	PARTNER	1/1/2008	TOR	Business Law	55957L		1			1
171	05447	Ronde	Derek	PARTNER	1/1/2002	TOR	Advocacy	46978W		1			1
172	05902	Rourke	Meghan	ASSOCIATE	9/1/2018	TOR	Advocacy	75235A		1		1	
173	04507	Roy	Jeffrey P.	PARTNER	1/1/1986	TOR	Business Law	25675Q; LSA:5401		1			1
174	05466	Russell	Caitlin	PARTNER	9/1/2013	TOR	Advocacy	63847M		1			1
175	05691	Salem	Andrew	PARTNER	1/1/1998	TOR	Business Law	41014R		1			1
176	05471	Sassi	Monique	ASSOCIATE	9/1/2013	TOR	Financial Services	63638L		1		1	
177	05569	Schaefer	Brittany	ASSOCIATE	9/1/2014	TOR	Business Law	66020E		1			1
178	05852	Scolieri	Amanda	ASSOCIATE	9/1/2017	TOR	Financial Services	73338C		1			1
179	05783	Seban	Stefanie	ASSOCIATE	9/1/2016	TOR	Business Law	71053S		1			1
180	05486	Selby	Christopher	ASSOCIATE	9/1/2014	TOR	Advocacy	65702T		1		1	
181	04605	Selznick	Stephen	PARTNER	1/1/1979	TOR	Business Law	18593C		1			1
182	00130	Shaw	Geoffrey B.	PARTNER	1/1/1986	TOR	Advocacy	26367J		1			1

# List of Active Lawyers by City As at March 26, 2019

	TKID	Last	First	Title	Bar Date	Loc	Practice Group	LSUC	CAL	TOR	VAN	Associate	Partner
183	05676	Sherman	Jonathan	PARTNER	9/8/2015	TOR	Business Law	68574W		1			1
184	00095	Silver	Lorne S.	PARTNER	1/1/1984	TOR	Advocacy	24238L		1			1
185	05571	Simpson	Kyle	ASSOCIATE	9/1/2014	TOR	Business Law	65384D		1		1	
186	05903	Singh	David	ASSOCIATE	9/1/2018	TOR	Business Law	74798G		1		1	
187	06183	Smith	Marah S.	ASSOCIATE	1/1/2009	TOR	Business Law	57579H		1		1	
188	05080	Snider	Kenneth	PARTNER	1/1/1983	TOR	Business Law	22897M		1			1
189	05904	Sokoloff	Sam	ASSOCIATE	9/1/2018	TOR	Business Law	75277O		1		1	
190	05530	Somani	Alykhan	ASSOCIATE	9/1/2013	TOR	Business Law	64239M		1		1	
191	05758	St. Cyr	Mark	PARTNER	1/1/2012	TOR	Advocacy	62390D		1			1
192	00257	Stein	Paul M.	PARTNER	1/1/1983	TOR	Business Law	23256T		1			1
193	00321	Steinhart	Gary	PARTNER	1/1/1981	TOR	Business Law	21142G		1			1
194	06250	Storto	Claudia A.	COUNSEL	1/1/1999	TOR	Advocacy	42346S		1		1	
195	05536	Sullivan	Peter	PARTNER	1/1/2005	TOR	Financial Services	61177T		1			1
196	06165	Swick	Brenda C.	PARTNER	1/1/1987	TOR	Business Law	27732S		1			1
197	05784	Tabi	Kwaku	ASSOCIATE	9/1/2016	TOR	Financial Services	70468K		1		1	
198	06037	Tallim	Mike R.	ASSOCIATE	1/1/2015	TOR	Financial Services	18666 (LSA); 73694V (ON)		1		1	
199	05663	Taylor	Kristin	PARTNER	1/1/1995	TOR	Advocacy	37339O		1			1
200	05984	Teasdale	Stephane	PARTNER	1/1/1988	TOR	Business Law	187639-2; 72536F		1			1
201	06223	Tombs	Anna	ASSOCIATE	1/1/2014	TOR	Advocacy	65741W		1		1	
202	05626	Uukkivi	Raivo	PARTNER	1/1/2004	TOR	Advocacy	49932L		1			1
203	00265	Vettese	John P.	PARTNER	1/1/1995	TOR	Business Law	36951J		1			1
204	05484	Voudouris	Stephanie	ASSOCIATE	9/1/2014	TOR	Advocacy	65752M		1		1	
205	00238	Ward	David S.	PARTNER	1/1/1992	TOR	Advocacy	33541W		1			1
206	05307	Wasyluk	Jennifer	PARTNER	9/1/2011	TOR	Financial Services	60619J; LSA:19844; LSBC:513402		1			1
207	00186	Watterworth	Ann L.	PARTNER	1/1/1989	TOR	Business Law	29730C		1			1
208	00308	Weinberg	Lawrence M.	PARTNER	1/1/1989	TOR	Business Law	30156D		1			1
209	05854	Weizel	Michael	ASSOCIATE	9/1/2017	TOR	Business Law	73092U		1		1	
210	05162	Winch	Melissa	PARTNER	1/1/2009	TOR	Advocacy	57297K		1			1
211	05575	Woodman	Bryan	ASSOCIATE	9/1/2014	TOR	Financial Services	66146E		1		1	
212	04795	Woelford	Luke	PARTNER	1/1/2006	TOR	Business Law	52723J		1			1
213	05760	Wunder	Michael	PARTNER	1/1/1990	TOR	Financial Services	31351O		1			1
214	00254	Young	Mark I.	PARTNER	1/1/1980	TOR	Transaction Services	20135M		1			1
215	05103	Zagar	Jessica	ASSOCIATE	1/1/2009	TOR	Advocacy	57305Q		1		1	
216	04524	Budd	David	PARTNER	1/1/2000	VAN	Financial Services	ON: 43027V; BC: 510774			1		1
217	06018	Buttery	Mary I.A.	PARTNER	1/1/1993	VAN	Financial Services	34599R (ON); 503081 (BC)			1		1
218	05599	Chambers	Gordon R.	PARTNER	1/1/1987	VAN	Business Law	ON: N/A; BC: 8606			1		1
219	05842	Chandler	Jonathan	ASSOCIATE	9/1/2017	VAN	Business Law	512943			1		1
220	06138	Chapman	Sam	ASSOCIATE	7/1/2018	VAN	Business Law	513547 (BC)			1		1
221	05733	Christian	John T.C.	PARTNER	1/1/1992	VAN	Business Law	ON: N/A; BC: 103142			1		1
222	05785	Clark	Jenna	ASSOCIATE	9/1/2016	VAN	Financial Services	512102			1		1
223	05862	Cole	Sam	PARTNER	10/1/2011	VAN	Business Law	509998			1		1
224	06131	Dilts	Andrew	ASSOCIATE	9/1/2014	VAN	Business Law	510883 (BC)			1		1
225	05844	Dipardo	Danielle	ASSOCIATE	9/1/2017	VAN	Advocacy	72188E			1		1
226	05859	Durno	Jeff	PARTNER	1/1/2000	VAN	Business Law	34250P,501151			1		1
227	06035	Enns	Jared	ASSOCIATE	9/1/2016	VAN	Financial Services	513968 (BC), 2012062 (MB)			1		1
228	05893	Fung	Winda	ASSOCIATE	9/1/2018	VAN	Financial Services	513534			1		1
229	05624	Gill	Deepak	PARTNER	1/1/2006	VAN	Business Law	ON: N/A; BC: 506402			1		1
230	05241	Hansen	Jen	PARTNER	1/1/2010	VAN	Business Law	ON: 58591P; BC: 510785			1		1
231	06066	Hansford	David	ASSOCIATE	3/1/2013	VAN	Business Law	17398 (AB)			1		1
232	05786	Hoekstra	Arend	ASSOCIATE	9/1/2016	VAN	Business Law	512093			1		1
233	05949	Isaac	Thomas	PARTNER	1/1/2001	VAN	Business Law	BC:505263, AB: 14715, YK: 1087, NW: 1741; NU:2003023			1		1
234	06124	Lahaie	Emilie N.	ASSOCIATE	1/1/2015	VAN	Business Law	68795J (ON), 515083 (BC)			1		1
235	06070	Lewis	Jessica L.	ASSOCIATE	9/1/2016	VAN	Advocacy	512122			1		1
236	05884	Nied	Matthew	PARTNER	3/1/2013	VAN	Financial Services	509191 LSA:20058			1		1
237	05851	O'Donnell	Aimee	ASSOCIATE	9/1/2017	VAN	Business Law	512944			1		1
238	05765	Podowski	Darrell	PARTNER	1/1/1993	VAN	Business Law	500305			1		1
239	05710	Poirier	Jennifer	ASSOCIATE	1/1/2011	VAN	Business Law	ON: N/A; BC: 508605			1		1
240	05641	Prest	Samantha	ASSOCIATE	9/1/2014	VAN	Business Law	511365			1		1
241	05809	Redford	David	PARTNER	1/1/1998	VAN	Business Law	502761			1		1
242	06069	Richdale	Brigeeta C.	PARTNER	1/1/2010	VAN	Advocacy	BC:508639			1		1
243	05894	Sarhan	Laith	ASSOCIATE	9/1/2018	VAN	Business Law	513533			1		1
244	06260	Towriss	Shauna	PARTNER	1/1/2006	VAN	Financial Services	BC:506436			1		1
245	00784	Traub	Jennifer	PARTNER	1/1/2000	VAN	Business Law	ON: 43377H; BC: 510769			1		1
246	06017	Williams	H. Lance	PARTNER	1/1/2007	VAN	Financial Services	14848(AB); 4569(SK); 508874(BC)			1		1
Total lawyers by location									15	200	31	246	101 145
Less: Lawyers on LTD													
	Grieve	Deborah S.	PARTNER	1/1/1986	TOR	Financial Services	26202G			-1			-1
	Hall	Terence	PARTNER	1/1/1983	TOR	Financial Services	23072U			-1			-1
	McGowan	John P.	PARTNER	1/1/1986	TOR	Advocacy	26754K			-1			-1
	Page	S. John	PARTNER	1/1/1980	TOR	Advocacy	19650Q			-1			-1
	Teasdale	Stephane	PARTNER	1/1/1988	TOR	Business Law	187639-2; 72536F			-1			-1
Less: Departed Lawyers (departing in March)													
	Weizel	Michael	ASSOCIATE	9/1/2017	TOR	Business Law	73092U			-1			-1
Less: Professional Corporations													
Total non professional corporation lawyers by location									3	149	21	100 140	



Professional Corporation name	Province of Employment	CAL	TOR	VAN
Abramson Lanning, Prof Corp	Ontario		1	
Accursi Chad, Prof Corp	Ontario		1	
Alter Matthew, Prof Corp	Ontario		1	
Arbuck Jason, Prof Corp	Ontario		1	
Barretto Jerney, Prof Corp	Alberta	1		
Bellissimo Joseph, Prof Corp	Ontario		1	
Bennett Mark, Prof Corp	Ontario		1	
Berman Wendy, Prof Corp	Ontario		1	
Birch John, Prof Corp	Ontario		1	
Blyth Stuart, Prof Corp	Alberta	1		
Budd David, Law Corp	British Columbia			1
Burden Bill, Prof Corp	Ontario		1	
Chambers Gordon, Law Corp	British Columbia			1
Chisick Casey, Prof Corp	Ontario		1	
Christian John T.C., Law Corp	British Columbia			1
Dietrich Jane, Prof Corp	Ontario		1	
Durno Jeff, Law Corp	British Columbia			1
Ellis Larry, Prof Corp	Ontario		1	
Fitzgerald Andrea, Prof Corp	Ontario		1	
Fleisher Jonathan, Prof Corp	Ontario		1	
Fountain Sherri, Prof Corp	Alberta	1		
Gill Deepak, Law Corp	British Columbia			1
Glickman Leonard, Prof Corp	Ontario		1	
Goldman Jason, Prof Corp	Ontario		1	
Goodman Gordon, Prof Corp	Ontario		1	
Gottlieb Maxwell, Prof Corp	Ontario		1	
Hamilton Arthur, Prof Corp	Ontario		1	
Hocher Josef, Prof Corp	Alberta	1		
Holowachuk Jason, Prof Corp	Alberta	1		
Jacobs Ryan, Prof Corp	Ontario		1	
Jessome Laurie, Prof Corp	Ontario		1	
Karn Bernice, Prof Corp	Ontario		1	
Khullar Shaunik, Prof Corp	Ontario		1	
Lapalme Laurie, Prof Corp	Ontario		1	
Livingstone Laurie C, Prof Corp	Alberta	1		
Low Evan D., Prof Corp	Alberta	1		
Lysy Rob, Prof Corp	Ontario		1	
Manzer Alison, Prof Corp	Ontario		1	
Mercier Marc, Prof Corp	Ontario		1	
Mingay Cam, Prof Corp	Ontario		1	
Morand Jim, Prof Corp	Ontario		1	
Nied Matthew, Law Corp	British Columbia			1
Oliver Jeffrey, Prof Corp	Alberta	1		
Plener Sheldon, Prof Corp	Ontario		1	
Podowski Darrell, Law Corp	British Columbia			1
Redford David, Law Corp	British Columbia			1
Redmond David, Prof Corp	Ontario		1	
Reeve Brian, Prof Corp	Ontario		1	
Rein Kenton, Prof Corp	Alberta	1		
Rich Chuck, Prof Corp	Ontario		1	
Richardson Doug, Prof Corp	Alberta	1		
Richdale Brigeeta, Law Corp	British Columbia			1
Shaw Geoffrey, Prof Corp	Ontario		1	
Silver Lorne, Prof Corp	Ontario		1	
Snider Ken, Prof Corp	Ontario		1	
Stein Paul, Prof Corp	Ontario		1	
Steinhart Gary, Prof Corp	Ontario		1	
Templeton Keith, Prof Corp	Alberta	1		
Traub Jennifer, Law Corp	British Columbia			1
Uukkivi Raivo, Prof Corp	Ontario		1	
Vettese John, Prof Corp	Ontario		1	
Ward David, Prof Corp	Ontario		1	
Watterworth Ann, Prof Corp	Ontario		1	
Weinberg Larry, Prof Corp	Ontario		1	
Wunder Michael, Prof Corp	Ontario		1	
Young Mark, Prof Corp	Ontario		1	
Zuniga Daniel A, Prof Corp	Alberta	1		
Total Professional Corporations by Location		12	45	10

# APPENDIX C

## ACTIVE NON-LAWYER COUNSULTANTS OF THE FIRM AS OF MARCH 1, 2019 (Excluding Patent & Trademark Agents)

Name of Firm: Cassels Brock & Blackwell LLP

### SECTION A

Professional Service Provided or Type of Profession	# Person in Same Profession	Location (Province)	Client Contact	Advise Clients	Supervised by Lawyers	Underlying Insurance <sup>/1</sup>	% of Time Docketed <sup>/2</sup>
Strategic Business Advice	1	BC	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	0
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

### SECTION B

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure:	Type of Exposure:
Insurance Carrier:	Insurance Carrier:
Policy Number:	Policy Number:
Period of Insurance:	Period of Insurance:
Retroactive Date:	Retroactive Date:
Limits:	Limits:
\$ _____ per claim, \$ _____ aggregate	\$ _____ per claim, \$ _____ aggregate

<sup>/1</sup> If underlying insurance is purchased, please complete Section B.

<sup>/2</sup> PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

## APPENDIX D

### "ASSOCIATED FIRMS" AND "UMBRELLA FIRMS"

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Name of Firm: Cassels Brock & Blackwell LLP

- The Named Insured under the CLLAS policies is Cassels Brock & Blackwell LLP.
- The Firm has offices in Toronto, Vancouver and Calgary.
- The Firm was a member of an established national affiliation of independent law firms comprised of Pouliot Mercure of Montreal and Alexander, Holburn, Beaudin & Lang of Vancouver. The Firm also had an international affiliation with Noriega y Escobedo in Mexico City. The affiliation was known as Cassels Pouliot Alexander Noriega.
- From November 1990 to October 2000, Douglas Symes & Brissenden was part of the affiliation during which time the affiliation was known as Cassels Pouliot Douglas Noriega (until joined by MacKimmie Matthews in June 1996). As of October 31, 2000, Douglas Symes & Brissenden ceased to practise law and the affiliation changed to Cassels Pouliot Noriega.
- From June 1996 to February 1999, MacKimmie Matthews was part of the affiliation during which time the affiliation was known as Cassels Pouliot Douglas MacKimmie Noriega. As of February 28, 1999, MacKimmie Matthews ceased to practise law and the affiliation changed to Cassels Pouliot Douglas Noriega.
- In April 2001, Alexander, Holburn, Beaudin & Lang joined the affiliation and the affiliation changed its name to Cassels Pouliot Alexander Noriega.
- In September 2004, Cassels Brock & Blackwell LLP ended the above affiliations and is no longer associated in any way with the above law firms.
- In February 2004, Cassels Brock & Blackwell LLP became a member of the World Services Group, a global non-profit membership-by-invitation-only association of business service providers, in order to more effectively nationally and internationally market its legal services to persons requiring legal services in Ontario, create new business prospects and provide superior client service. The Firm withdrew from this association on December 6, 2011.
- There is an endorsement on the policy to cover possible claims relating to the periods during which the following affiliations were in operation: a) Cassels Pouliot Douglas MacKimmie Noriega; b) Cassels Pouliot Douglas Noriega; c) Cassels Pouliot Noriega; and d) Cassels Pouliot Alexander Noriega. The endorsement also covers Cassels Brock & Blackwell LLP as a member of the World Services Group. However, as the affiliation with the World Services Group was not reported to CLLAS until April 11, 2005, claims known or should have been known prior to this date are excluded.

## APPENDIX E

### PROFESSIONAL SERVICES PROVIDED RELATING TO NON-CANADIAN LAW & PROFESSIONAL SERVICES PROVIDED IN THE U.S. & OUTSIDE OF CANADA

Name of Firm: Cassels Brock & Blackwell LLP

#### 1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time Relating to Non-Canadian Law
Ryan Jacobs	Ontario	up to 10%
Natalie Levine	Ontario	up to 10%
Nicola Geary	Ontario	up to 10%

#### 2. Professional Services Provided by Canadian Lawyers from a U.S. Office

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time in the U.S. Office

#### 3. Professional Services Provided by Offices Outside of Canada

Please provide the following information on all lawyers reported in Appendix B under the "Outside of Canada" column.

Location of Office	# of Lawyers Practise 100% Canadian Law	# of Lawyers Practise 100% Non-Canadian Law	# of Lawyers Practise both Canadian & Non-Canadian Law



#### 4. Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as a copy of the policies.

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$\_\_\_\_\_ per claim, \$\_\_\_\_\_ annual aggregate

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$\_\_\_\_\_ per claim, \$\_\_\_\_\_ annual aggregate

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$\_\_\_\_\_ per claim, \$\_\_\_\_\_ annual aggregate

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$\_\_\_\_\_ per claim, \$\_\_\_\_\_ annual aggregate

APPENDIX F

SCHEDULE OF CLAIMS AND NOTICES AS OF DECEMBER 31, 2018

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Name of Firm: Cassels Brock & Blackwell LLP

A handwritten signature in black ink, appearing to be "J. H. Blackwell", is centered on the page. The signature is written in a cursive, flowing style with a large loop at the beginning and a long, sweeping tail.

## CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLAS)

Open and Closed Claims Report

Cassels, Brock &amp; Blackwell LLP

As at:

December 31, 2018

Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition	Law Society						CLAS			
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLAS Indemnity Paid	CLAS Legal Reserve	CLAS Indemnity Reserve	CLAS Legal Reserve	CLAS Incurred Liability
CLAS1993-159	David Redmond	MARGO BOURQUE	31-May-1993	31-Oct-1990	30-Jun-1996	NCP	\$ -	\$ 7,180	\$ -	\$ -	\$ 7,180	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1993-160	Anne Marie Widner	COLE BUILDING LIMITED	30-Jun-1993	31-Oct-1990	04-Apr-1995	NCP	\$ -	\$ 2,973	\$ -	\$ -	\$ 2,973	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1993-161	S. JOHN PAGE	HAYWARD BURRY LIMITED	31-May-1993	30-Apr-1993	29-May-1998	NCP	\$ -	\$ 22,667	\$ -	\$ -	\$ 23,742	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1993-163	S. JOHN PAGE	HAYWARD BURRY LIMITED	31-May-1993	30-Apr-1993	29-May-1998	NCP	\$ -	\$ 21,292	\$ -	\$ -	\$ 22,667	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1994-077	PATRICIA A. YAKIL	ROYAL PRECISION TOOLING LTD.	11-Feb-1994	10-Jan-1989	02-May-1994	NCP	\$ -	\$ 3,527	\$ -	\$ -	\$ 5,279	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1994-103	James M. BUTSON	YORKE TOWNE DECORATING	26-Aug-1993	01-Jan-1991	26-May-1994	NCP	\$ -	\$ -	\$ -	\$ -	\$ 859	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1994-104	HARVEY KIRSH	HOWARD SHAIN	17-Aug-1993		28-Mar-1994	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1994-105	Ken C. Cancellara	IONA GROUP OF COMPANIES	13-Oct-1993	01-Aug-1993	01-Feb-1999	NCP	\$ -	\$ 3,819	\$ -	\$ -	\$ 3,819	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1994-106	Stephen LEDREW	JAMES SKELTON	30-Aug-1993		08-Dec-1993	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1994-107	DONALD ARTHURS	ROYAL TRUST AND LAURENTIAN, ET AL	29-Oct-1993	01-Jan-1993	24-Jun-1994	NCP	\$ -	\$ -	\$ -	\$ -	\$ 417	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1994-108	MAXWELL GOTLIB	DIGIANMARINO	23-Nov-1993	01-Nov-1991	21-Nov-1994	NCP	\$ -	\$ -	\$ -	\$ -	\$ 1,238	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1994-109	SANFORD WORLD	S. WOODLEY	06-Nov-1993		25-Mar-1994	NCP	\$ -	\$ 606	\$ -	\$ -	\$ 606	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1994-110	DONALD ARTHURS	D. SANDERS	08-Nov-1993		31-Mar-1994	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1994-111	Kenneth CANCELLARA	Ridolphi, Frank	17-Mar-1994	01-Jun-1985	08-Mar-1995	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1994-112	William H. Steele	MARCO FELIK	01-Mar-1994		01-Jun-1995		\$ -	\$ -	\$ -	\$ -	\$ 1,465	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1994-113	KAREN BELL	CIBC	08-Feb-1994	01-May-1992	14-Apr-1998	NCP	\$ -	\$ -	\$ -	\$ -	\$ 2,526	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1994-114	Grace Ann WESTCOTT	248221 ONTARIO LIMITED/Turner, Smart	13-Nov-1993	01-Apr-1991	11-Mar-1996		\$ -	\$ 1,588	\$ -	\$ -	\$ 1,588	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1994-115	Kenneth CANCELLARA	DELLA TORRE, Louis	11-Apr-1994	01-Aug-1991	10-Aug-1994	NCP	\$ -	\$ -	\$ -	\$ -	\$ 552	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1994-116	TREVOR BARTRAM	DELLA TORRE, Louis	12-Apr-1994	01-May-1988	31-Jul-1996	NCP	\$ -	\$ 12,351	\$ -	\$ -	\$ 15,491	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1994-117	William BURDEN	ONTARIO HOSPITAL ASSOCIATION	22-Mar-1994	01-Feb-1994	03-Oct-1995	NCP	\$ -	\$ 10,534	\$ -	\$ -	\$ 10,534	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1994-143	David A. Redmond	Cdn. Premier Property Investments	30-Jun-1994	01-Jul-1993	26-Oct-1994	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1994-144	James Parks	Kate Kostiw and the Kodarics	30-Jun-1994	01-May-1994	31-Mar-1996		\$ 40,000	\$ 13,169	\$ -	\$ -	\$ 54,734	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1994-145	Aubrey Russell	Sweda Farms et al	30-Jun-1994	01-Jul-1989	30-Jun-1996	NCP	\$ -	\$ 8,366	\$ -	\$ -	\$ 10,395	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1994-146	Aubrey Russell	Michael & Susan Douglas	30-Jun-1994	01-Dec-1992	31-Dec-1994	NCP	\$ -	\$ 1,365	\$ -	\$ -	\$ 1,365	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1994-181	Frank Monteleone	Elaine Cuddington	31-May-1994	01-Feb-1994	06-Feb-1995	NCP	\$ -	\$ -	\$ -	\$ -	\$ 696	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1994-182	Frank Monteleone	Cambridge Suites Hotel	14-Apr-1994	01-Feb-1994	31-Dec-1994	NCP	\$ 4,000	\$ -	\$ -	\$ -	\$ 5,984	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1995-003-01	Lorne H. Sallman	Con Drain Company et al	20-Jul-1994	20-Jul-1988	30-Jun-1996	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1995-009	Anne-Marie Widner	Scotia Mortgage Corp./St. John's Polish Nat'l	31-Aug-1994	01-Feb-1993	31-Jul-1996	NCP	\$ -	\$ -	\$ -	\$ -	\$ 883	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1995-066	Sheldon/John Plener/Doe	Bob Reiger	31-Dec-1994	01-Jul-1991	31-Dec-1996	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1995-067	Timothy Pinos	Frederick C. Maltman	31-Dec-1994	01-Sep-1994	10-Jul-1997	NCP	\$ -	\$ -	\$ -	\$ -	\$ 1,226	\$ -	\$ -	\$ -	\$ -	\$ -



Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abbr	Law Society						CLAS			
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLAS Indemnity Paid	CLAS Legal Paid	CLAS Indemnity Reserve	CLAS Legal Reserve	CLAS Incurred Liability
CLAS1995-080	D.R. Arthurs	T.R. Turbitt	28-Jul-1994	01-Jan-1992	02-Feb-1995	NCP	\$ -	\$ -	\$ -	\$ -	\$ 523	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1995-093	William H. Steele	ACM General Contracting - Dilco	31-Dec-1994	01-Oct-1994	09-Mar-2004		\$ -	\$ 19,147	\$ -	\$ -	\$ 19,147	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1995-094	Anne-Marie Widner	Robert and Martine Celej	30-Mar-1995	01-May-1992	12-Dec-1996		\$ 16,000	\$ 4,377	\$ -	\$ -	\$ 21,371	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1995-096	Bruce/Kenneth McNeely/Canc	Lidda Yonge Holdings Ltd/ 337147 Ontario Ltd/KLS	28-Mar-1995	01-Mar-1978	13-May-1997	NCP	\$ -	\$ 1,179	\$ -	\$ -	\$ 1,179	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1995-117	Robert Law	L.B. Sheppard Estate/ D. Sheppard and G.S. Sheppard	30-Apr-1995	01-Jun-1992	11-Jan-2001		\$ 10,000	\$ 25,855	\$ -	\$ -	\$ 35,855	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1995-150	Mark V. Ellis	Mentor Networks Inc.	27-Jun-1995	01-Jun-1995	10-Apr-1996		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1996-003	Michael Mackay	Dakota Marketing	18-Jul-1995	01-May-1995	31-Oct-1996	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1996-008	Howard Kohn	Royal Insurance Company of Canada	15-Aug-1995	19-Jan-1995	17-Mar-1997	NCP	\$ -	\$ 8,784	\$ -	\$ -	\$ 8,784	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1996-103	Ian L. McCulloch	Great-West Life Assurance Company	14-May-1996	22-Mar-1989	13-Dec-1996	NCP	\$ -	\$ -	\$ -	\$ -	\$ 197	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1997-066	Paul Stein	Gaming Lottery Corporation/Bank Leu AG	17-Feb-1997	09-Nov-1994	14-Nov-2002		\$ -	\$ 259,811	\$ -	\$ -	\$ 259,811	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1998-018	Gregory Greatrex	John William Cowan	04-Jun-1997	03-Oct-1994	20-Jan-1998	NCP	\$ -	\$ 1,845	\$ -	\$ -	\$ 3,202	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1998-019	Donald G. Gray	Aircraft Group Services (Asia) Inc.	29-Aug-1997	01-Jun-1996	31-Dec-2008		\$ -	\$ 369,587	\$ -	\$ -	\$ 369,587	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1998-091	Lawrence D. Wilder	Natraceuticals Inc.	20-Apr-1998	14-Aug-1996	14-May-1999	NCP	\$ -	\$ -	\$ -	\$ -	\$ 736	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1998-101	Rui Fernandes	Transcon Recycling Inc.	11-Mar-1997	03-Apr-1996	10-Jan-2001	NCP	\$ -	\$ 2,159	\$ -	\$ -	\$ 2,159	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1998-102	Lori A. Roth	Nektison Engineering & Contractors Ltd.	17-Feb-1997	06-Apr-1996	03-Jul-1997	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1998-103	Mark Ellis	Mentor Networks	27-Jun-1995	01-Jun-1995	23-Jan-1998	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1998-104	Paul M. Stein	Linda Stein	05-Jun-1997	01-Apr-1994	08-May-1998	NCP	\$ -	\$ -	\$ -	\$ -	\$ 787	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1998-105	Gregory Greatrex	Priority Gas Marketing			25-May-1998		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1998-106	Robert Armstrong	Lansing Building Supply (Ontario) Ltd.	01-Aug-1997	19-Jan-1981	22-May-1998		\$ 28,000	\$ 1,741	\$ -	\$ -	\$ 29,741	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1998-107	Peter Trebus	Solness Inc. and Judith Edler	19-Aug-1997	22-Mar-1991	22-Jan-1998	NCP	\$ -	\$ 1,232	\$ -	\$ -	\$ 1,232	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1998-108	Lorne Silver	Shirley Schwartz & Estate of Larry Schwartz	26-Nov-1997	30-Oct-1997	21-Aug-2001		\$ 330,000	\$ 43,226	\$ -	\$ -	\$ 368,226	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1998-109	Claire E. Walker	Lorena Kerr/John Hepworth/Marc Paquette	01-Dec-1997	01-Jan-1995	24-Apr-1998	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1998-110	Richard Row	Kansa General/Dowdel	23-Jan-1998	01-Apr-1996	30-Nov-1999	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1998-119	Walker Bowen	Curragh Inc.	22-Jun-1998	01-Aug-1994	25-Jan-1999	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1999-017	Lawrence D. Wilder	YBM MAGNEX INTERNATIONAL INC.	28-Aug-1998	01-Jun-1997	30-Sep-2003		\$ -	\$ 1,016,561	\$ -	\$ -	\$ 1,016,561	\$ 15,000,000	\$ 1,638,403	\$ -	\$ -	\$ 16,638,403
CLAS1999-041	Alison Manzer	Congress Financial Corporation	01-Dec-1998	28-Mar-1996	11-Apr-2003		\$ 162,500	\$ 85,392	\$ -	\$ -	\$ 247,892	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1999-082	L. Michael Shannon	Lorraine MacLachlan	01-Feb-1999	04-Jan-1999	11-Jun-1999	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1999-126	Joseph David Pinos	Emmanuel Feuerwerker	07-Apr-1999	09-Mar-1994	05-Mar-2002	NCP	\$ -	\$ 2,603	\$ -	\$ -	\$ 2,603	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2000-021	Crawford R. Spencer	Canadian Tire Real Estate Limited	22-Sep-1999	15-Jul-1999	30-Jun-2000	NCP	\$ -	\$ 508	\$ -	\$ -	\$ 508	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2000-024	James Parks	Victor Dingley et al	27-Sep-1999	24-Sep-1999	18-Nov-1999	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2000-051	Lellah Edroos	Stephen Rusoee	29-Sep-1999	07-Sep-1997	19-Nov-1999	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2000-069	Bruce Thomas	ING/Halfax (formerly Guardian Insurance)	26-Jan-2000	01-Aug-1996	22-Feb-2000	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2000-095	John McGowan	Robert Wiche and David Kingston	14-Mar-2000	09-Sep-1997	31-Dec-2008		\$ -	\$ 6,040	\$ -	\$ -	\$ 6,040	\$ -	\$ -	\$ -	\$ -	\$ -











Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abbr	Law Society				CLIAS			
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLIAS Indemnity Paid	CLIAS Legal Reserve	CLIAS Incurred Liability
CLIAS2009-183	Kenneth James Yollies	Massi Corner Inc. and Bramcon Development Ltd.	30-Jun-2009	27-Nov-2008	25-Jul-2012	CPF	\$ -	\$ 3,841	\$ -	\$ -	\$ 3,841	\$ -	\$ -	\$ -
CLIAS2009-184	Diane Elizabeth Klukach	Pamela Stevens (Roslyn Tsao)	30-Jun-2009	05-Oct-2006	03-Dec-2015	NCP	\$ -	\$ 34,920	\$ -	\$ -	\$ 34,920	\$ -	\$ -	\$ -
CLIAS2009-185	MAXWELL GOTLIEB	Harry Teperman and Irving Teperman	30-Jun-2009	02-Jan-1994	22-Mar-2011	NCP	\$ -	\$ 22,242	\$ -	\$ -	\$ 22,242	\$ -	\$ -	\$ -
CLIAS2009-186(a)	Susan Marsh	Bohne Spring Industries Ltd.	30-Jun-2009	01-Nov-2008	30-Mar-2010	NCP	\$ -	\$ 6,405	\$ -	\$ -	\$ 6,405	\$ -	\$ -	\$ -
CLIAS2009-186(b)	Susan Marsh	Bohne Spring Industries Ltd.	30-Jun-2009		30-Mar-2010	NCP	\$ -	\$ 3,570	\$ -	\$ -	\$ 3,570	\$ -	\$ -	\$ -
CLIAS2010-003	Ralph Lean	Earl Gales and Jenkins, Gales & Martinez Inc.	28-Jul-2009	12-Apr-2005	14-Jan-2010	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2010-006	Geoff Shaw	2049080 Ontario Inc. (d.b.a. J.W. Car Care)	28-Jul-2009	06-Feb-2009	19-Aug-2009	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2010-020	Charles Newman	Business Development Bank of Canada	03-Sep-2009	28-Jul-2006	18-Feb-2010	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2010-025	Stuart English	The Rose Corporation/Livia Capital et al.	22-Sep-2009	30-Jun-2008	25-Jan-2010	NCP	\$ -	\$ 919	\$ -	\$ -	\$ 919	\$ -	\$ -	\$ -
CLIAS2010-031	Anne-Marie Widner	Robert Lawrie and Hawk Site Inc. re Condo Purchase	02-Oct-2009	19-May-2000	28-Jan-2010	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2010-032	Anne-Marie Widner	Robert Lawrie and Hawk Site Inc. re Cottage Purchase	02-Oct-2009		28-Jan-2010	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2010-039	Audrey A. Warner (no longer at firm)	Woodlands by Sierra Inc. and The Rose Corporation	19-Oct-2009	15-Jun-2006	30-Sep-2011		\$ 50,000	\$ 35,000	\$ -	\$ -	\$ 85,000	\$ -	\$ -	\$ -
CLIAS2010-047	Daniel Bourque	Ri-Go Lift Truck Ltd.	29-Oct-2009		16-Nov-2009		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2010-059	Peter Harris	Trillium Motor World (General Motors dealers)	19-Nov-2009	07-May-2009	18-Dec-2018		\$ -	\$ 1,000,000	\$ -	\$ -	\$ 1,000,000	\$ 27,572,445	\$ 4,325,814	\$ 31,898,259
CLIAS2010-062	Signe Blanka Leisk	Sylvette Brown and Murray Brown	24-Nov-2009	14-Oct-2009	21-Jan-2010	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2010-063	Jennifer Campbell	Caspian Energy Inc.	03-Dec-2009	12-Jan-2009	24-Feb-2010	NCP	\$ -	\$ 1,785	\$ -	\$ -	\$ 1,785	\$ -	\$ -	\$ -
CLIAS2010-065	Don R. Arthurs	Zurich Insurance as subrogee of Eco Tec Inc.	08-Dec-2009	18-May-2001	26-Jun-2018		\$ 898,259	\$ 101,741	\$ -	\$ -	\$ 1,000,000	\$ 3,101,741	\$ -	\$ 3,101,741
CLIAS2010-102	Lorne Saltman	Jeanette Igra	25-Feb-2010	15-Nov-1994	09-Sep-2010	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2010-107	James Rossiter (no longer at firm)	SkyLink Aviation Holdings, LLC, SkyLink Aviation I	10-Feb-2010	06-Nov-2008	25-Feb-2013	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2010-112	MAXWELL GOTLIEB	York Super Pharmacy Ltd. and Seymour Pepper (deceased)	02-Mar-2010	01-Oct-1988	28-Apr-2011	NCP	\$ -	\$ 8,418	\$ -	\$ -	\$ 8,418	\$ -	\$ -	\$ -
CLIAS2010-113	Robert Lysy	The Equitable Trust Company	05-Mar-2010	08-Jan-2008	13-Nov-2012		\$ 215,000	\$ -	\$ -	\$ -	\$ 215,000	\$ -	\$ -	\$ -
CLIAS2010-114	Cathy Mercer	Homeland Uranium Inc.	05-Apr-2010	01-Jun-2009	31-Mar-2011	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2010-144	Gary Steinhart	The Rose Corporation	19-Apr-2010	13-Nov-2007	03-Aug-2010	SRI	\$ -	\$ 15,824	\$ -	\$ -	\$ 15,824	\$ -	\$ -	\$ -
CLIAS2010-147	D'Arcy D. McGoey	Michael Reyes	26-Apr-2010		31-Mar-2011		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2010-164	Robert Cohen	Leigh S. Ulrich	27-May-2010	26-Jun-2009	31-May-2010	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2010-184	Stanley M Makuch	Town of Georgina	30-Jun-2010	30-Sep-2002	02-May-2012	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2011-006	Eunice Dos Reis Machado	Eureka Importing and Distributing Limited	20-Aug-2010	04-Feb-2009	09-Nov-2010	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2011-014	John Vettesse	Royal Laser Corp.	01-Sep-2010	01-May-2006	13-Jul-2012		\$ -	\$ 201,671	\$ -	\$ -	\$ 201,671	\$ -	\$ -	\$ -
CLIAS2011-017	Mark Young	Go-Go Travel & Tours Ltd.	17-Sep-2010	15-Jul-2010	30-Jun-2011		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2011-021	Sheldon Plener	Roy A. Miakar	30-Sep-2010		22-Mar-2011	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2011-028	Erik Daniel Goldsilver	Prescott Russell Services to Children and Adults	19-Oct-2010	25-Jan-2010	17-Mar-2014		\$ 133,000	\$ 25,000	\$ -	\$ -	\$ 158,000	\$ -	\$ -	\$ -
CLIAS2011-031	Theodore B. Frankel	Scan Tube Steel Services	21-Oct-2010	30-Jul-2010	22-Mar-2011	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLIAS2011-042	Lorne Stephen Silver	Jaystren Holdings Limited and Stephen Stren	08-Nov-2010	09-Sep-2005	23-Jul-2012	NCP	\$ -	\$ 28,638	\$ -	\$ -	\$ 28,638	\$ -	\$ -	\$ -













Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abbr	Law Society				CLIAS					
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLIAS Indemnity Paid	CLIAS Legal Paid	CLIAS Indemnity Reserve	CLIAS Legal Reserve	CLIAS Incurred Liability
Total							\$ 3,783,751	\$ 7,117,374	\$ 1,371,250	\$ 3,055,515	\$ 15,350,266	\$ 45,674,187	\$ 6,051,676	\$ 3,000,000	\$ 4,000,000	\$ 58,725,862

## APPENDIX G

### RISK MANAGEMENT POLICIES AND PROCEDURES

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Name of Firm: Cassels Brock & Blackwell LLP

Please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.



**CLLAS Renewal Application**  
**Appendix G – Risk Management Policies and Procedures**  
(originally filed in 2009; reviewed March 2019)

**Name of Firm: Cassels Brock and Blackwell LLP**

1. Please advise if there is a committee or partner with overall responsibility for professional liability risk management of your firm.

**Audit & Risk Management Committee (ARMC) and John Birch, ARMC Member and General Counsel**

**The ARMC is responsible for the administration of the various insurance policies maintained by the Firm. This Committee includes at least one litigation lawyer who monitors claims made against the professional liability policy.**

**The ARMC is also responsible for maintaining standards of practice and ethics within the Firm. It also deals with matters involving conflicts or potential conflicts of interest, complaints from clients and a variety of other matters.**

2. Please provide a full description of the firm's risk management policies and procedures and include the following:

**The Firm has written policies and procedures dealing with a number of risk management areas, including conflicts of interest, trust funds, lawyers acting as directors and officers, ownership of significant interests in a client, audit enquiries, professional conduct, ethics and standards, professional development, confidentiality and security, pre-clearance of securities trades, file intake and retainers. The firm also provides guidance to lawyers regarding opinions and outside counsel guidelines.**

- (a) A list of the firm's written risk management policies and procedures (e.g. with respect to client intake, conflict checking, lawyers acting as directors or officers) and how these policies and procedures are communicated (e.g. internal practice policy or policy manual, seminars, etc.).

**The Firm's risk management policies and procedures are documented in the Firm's policy manual and stand-alone policies, which include the following:**

- **client intake**
- **conflict checking**
- **directors and officers**
- **professional errors and omissions**
- **securities trading**
- **ownership interest in clients**

**New lawyers and other employees receive instruction regarding the Firm's risk management policies and procedures during orientation sessions that are carried out at the commencement of their employment. The Firm's risk**

**CLLAS Renewal Application**  
**Appendix G – Risk Management Policies and Procedures**  
(originally filed in 2009; reviewed March 2019)

**Name of Firm: Cassels Brock and Blackwell LLP**

management policies are readily accessible on-line at all times on the Firm's intranet and in the document management system. Lawyers and staff are advised of updates to the Firm's risk management policies and procedures as they occur and appropriate amendments are reflected in the policy manual and document management system.

- (b) The firm's procedure for opening or starting work on a file (e.g. use of a standard new client form, retainer letter, conflict check, approval process, etc.).

**File Openings**

For all new client file-opening forms that are received, the client and matter name is searched on both client and matter levels. If any potential conflict is found, the initiating lawyer/assistant is notified. If the Accounting Department is advised that there is no conflict, the file is opened. If the Accounting Department is advised that there is a conflict, the file is not opened.

For all new matters for existing clients) the client and matter name is searched on both client and matter levels.

The Daily Open Client & Matter report is circulated to all lawyers and assistants. As stated in the opening statement of that report, it is the responsibility of each lawyer to review the report and act immediately if a potential conflict is disclosed.

**Conflict Inquiries**

For all prospective clients and all new matters for existing clients, unless in the latter case it is absolutely clear that there is no opposite party or, if so, that it is absolutely clear that, for whatever reason, there could be no conflict, the initiating lawyer sends a conflict inquiry using the Conflict Search form.

When a conflict inquiry is received, the File Opening Clerk does a systems search of the client database (open and closed). The data includes recorded opposite parties. If any potential conflict is revealed, a conflict report printout is produced and sent to the initiating lawyer to discuss/resolve with any other lawyers involved, if that can be done without revealing confidential information. In situations where it may be necessary to reveal confidential information in order to assess and resolve a potential conflict, a member of the ARMC receives this confidential information separately from each side and provides guidance regarding the conflict (without revealing the confidential information).

**CLLAS Renewal Application**  
**Appendix G – Risk Management Policies and Procedures**  
(originally filed in 2009; reviewed March 2019)

**Name of Firm: Cassels Brock and Blackwell LLP**

**Notice of a confidentiality screen is circulated to all affected parties. At this point the client file security measures are put in place immediately.**

**Retainer Letter**

**The firm's policy is that an engagement letter must be prepared and sent to the client in respect of all new matters (including new matters for an existing client). The engagement letter generally addresses the following issues:**

- Scope of work**
- Name of the lawyer(s) providing the services**
- Name of the principal lawyer**
- Fees**
- Out-of-pocket expenses**
- Retainer and trust deposit**
- Billing arrangements and terms of payment**
- Termination of representation (withdrawal circumstances, etc.)**

**In addition, standard terms and conditions (contained in a separate document that is sent with the engagement letter) are incorporated by reference into most engagements.**

**Tickler/Follow-Up Systems**

**It is the responsibility of each lawyer to select and maintain his/her own tickler system (computerized or manual) to remind him/her to deal with a particular issue within a specific time frame. Lawyers are asked to familiarize themselves with the tickler system used by other lawyers in their Practice Group, and ensure matters are brought forward in a timely manner.**

**The intellectual property sub-group of the Business Law Group has a separate, specific system for diarizing trademark-related deadlines.**

APPENDIX H  
CYBER LIABILITY

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Name of Firm: Cassels Brock & Blackwell LLP

1. Personnel

- a) Do you have a Chief Security Officer or Chief Information Security Officer or equivalent? ☐ yes ☒ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Security Policies?

Chief Financial Officer, Chief Information Officer

- b) Do you have a Chief Privacy Officer or equivalent? ☒ yes ☐ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Privacy Policies?

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2. Protection

- a) Do you use encryption tools to enhance the integrity and confidentiality of confidential information?  
☒ yes ☐ no

If you use encryption tools, in which scenarios is data encrypted? (Check all statements that you believe are applicable.)

- ☒ Data at rest  
☒ Data in transit  
☒ Data transferred to removable media (laptops, CD's, backup tapes, USB devices, etc.)  
☐ None of the above

- b) Do you use and regularly update industry-standard antivirus software? ☒ yes ☐ no

- c) Do you install the latest software updates to reduce security vulnerabilities? ☒ yes ☐ no

- d) Do you require that passwords be a minimum length and contain alpha and numeric characters?  
☒ yes ☐ no

- e) Do you require that passwords be regularly updated? ☒ yes ☐ no

- f) Do you check to make sure that no spyware or adware resides on your computers? ☒ yes ☐ no

- g) Do you use and regularly update industry-standard firewall protection systems to prevent unauthorized access to internal networks and computer systems? ☒ yes ☐ no

- h) Is the data on your servers encrypted? ☐ yes ☒ no

- i) Is the data on your desktop and laptop computers encrypted? ☒ yes ☐ no
- j) Is the data on your mobile devices encrypted? ☒ yes ☐ no
- k) Have predesignated computer system/application access rights and privileges been set for all authorized users? ☒ yes ☐ no
- l) Is there hourly or daily automatic backup of documents and emails? ☒ yes ☐ no
- m) Is there hourly or daily automatic backup of your firm-wide tickler system and/or your lawyers' own personal tickler systems? ☒ yes ☐ no
- n) Are backups stored off-site at a secure location? ☒ yes ☐ no
- o) Do you use software that can be used to wipe laptops and mobile devices clean if they are misplaced or stolen? ☒ yes ☐ no
- p) Do you use software that can detect unauthorized transfers of personal information and unauthorized copying of files? ☒ yes ☐ no
- q) Do you use a metadata scrubber on documents that you transmit to clients or third parties such as opposing counsel? ☒ Most of the time ☐ Occasionally ☐ Never

### 3. Incident Response

Do you have a written network security incident response plan? ☒ yes ☐ no

If "yes":

- a) Does it include alternative options should a critical third party outsourcing provider's operations be incapacitated? ☒ yes ☐ no
- b) Does it include procedures to alert your clients that their data may have been compromised? ☒ yes ☐ no

*(yes, but not in all circumstances)*

### 4. Policies

- a) Do you maintain a comprehensive information security and privacy policy that is updated and enforced on a continuous basis? ☒ yes ☐ no
- b) Do you advise your lawyers of the risks of using unencrypted email? ☐ yes ☒ no
- c) Does your firm advise your lawyers of the dangers of metadata? ☒ yes ☐ no
- d) Do you purchase insurance other than CLAS coverage to protect you in the case of privacy breaches? ☒ yes ☐ no
- e) Do you purchase insurance other than CLAS coverage to protect you in the case of cyber-attacks? ☒ yes ☐ no

Cassels Brock & Blackwell LLP

CLLAS 2019-20 Renewal

Additional Information re. Question 2 of Appendix H

Additional data protection in place:

- Physical access to network servers and attached storage is restricted by industry standard card reader technology. Access is limited to key IT Department and Office Services staff members.
- Login access to servers and storage devices while in the office is restricted to key IT Administrators.
- Onsite, staff members must enter a username and password combination to access files and other network resources. Staff are required to change their password on a periodic basis.
- Remote access to network resources, files and applications is facilitated via a Citrix system. Staff must enter a username and password combination. Additionally, remote access via Citrix also requires a PIN+Tokencode combination provisioned by industry accepted third party application SecurID from RSA, an EMC company.





Date: March 14, 2019

# Privacy Statement

## Privacy Policy

Cassels Brock & Blackwell LLP (Cassels Brock) is a full-service business law firm with offices in Toronto, Vancouver and Calgary. The lawyers and staff at Cassels Brock (sometimes referred to as “we”) are committed to protecting your privacy. This Privacy Policy outlines how we handle your personal information to protect your privacy.

### Privacy Legislation

Since January 1, 2004, all organizations collecting, using or disclosing personal information in Canada in the course of commercial activities have been required to comply with the *Personal Information Protection and Electronic Documents Act* (“**PIPEDA**”) and any applicable “substantially similar” provincial legislation. These obligations extend to lawyers and law firms, including Cassels Brock.

In addition, as a professional services firm, we have professional and ethical obligations to keep confidential the information we receive in the context of a lawyer-client and agent-client relationship.

### Personal Information

Personal information is defined in PIPEDA as information about an identifiable individual, but does not include the name, title or business address or telephone number of an employee of an organization. In other words, it does not include the information that one expects to find on a business card (other than an e-mail address which *is considered to be personal information*).

### Consent to Our Collection, Use and Disclosure of Personal Information

In most cases, we obtain your consent to collect, use and disclose your personal information. If you retain Cassels Brock, we assume that we have your implied consent to our collection, use and disclosure of your personal information for the purposes of providing legal services to you, your company or organization; however, at times we may ask for your express consent, either verbally or in writing. By providing your personal information to us, you agree that we may collect, use and disclose your personal information as outlined in this Privacy Policy.

### Withdrawal of Consent

You may withdraw your consent to our collection, use and disclosure of your personal information at any time, subject to legal and/or contractual restrictions and upon reasonable notice. However your withdrawal of consent to our collection, use and disclosure of your personal information may affect our ability to represent and provide legal advice to you, your company or organization.

You can ask us not to send e-mail marketing communications to you by following the opt-out instructions in each communication or you may let us know by contacting our marketing department at [unsubscribe@casselsbrock.com](mailto:unsubscribe@casselsbrock.com). If you prefer not to be contacted by other means, such as by regular mail or by phone, please provide those instructions to the lawyer or agent with whom you are dealing, or to our Privacy Officer, whose contact information is provided below.

### **Collection of Personal Information**

Generally we collect your personal information directly from you at the start of or during the course of your retainer with Cassels Brock. We may also obtain personal information about you from other sources, such as:

- other individuals within your company or organization, where we are representing the company or organization;

- your insurance company or other financial institution;

- your real estate agent in a property transaction;

- your legal counsel in another jurisdiction, if we were retained through the other law firm;

- from a government agency or registry;

- your employer, if we are acting for you; or

- your accountant.

### **Use of Personal Information**

We use your personal information to provide legal advice and services to you, your company or organization, to issue invoices and to maintain our database of clients.

We may also use your personal information so that we may communicate with you about recent developments in the law, keep you abreast of Cassels Brock news and invite you to our firm events.

### **Disclosure of Personal Information**

Generally, we do not disclose your personal information to third parties without your consent unless permitted or required by applicable law. There are some situations in which we may disclose your personal information without your consent. For example:

- when we are required or authorized by law to do so, for example if a court issues a subpoena;

- where it is necessary to establish or collect fees; or

- if the information is already on the public record and the disclosure is directly related to the purposes for which it was recorded on the public record.

In some cases, your consent will be implied. For example, when the legal services we are providing to you require us to give your information to a third party (such as to a lender in a real estate mortgage transaction in

which we are representing you) we would rely on your implied consent to the disclosure. If our firm is sold or merged with another firm your consent will be implied to transfer your information to the successor firm in order to continue to provide services to you, unless you tell us otherwise. If we are required to contact our insurers in connection with a claim that you have against us, we will assume that we have your implied consent to the disclosure of your personal information to the insurer.

Lastly, from time to time we may transfer your personal information to the firm's service providers. Under PIPEDA, a transfer to a service provider is not considered to be a disclosure for which your consent is required; however, we will use contractual or other means to ensure that the third party service provider is bound by obligations regarding privacy that are consistent with this Policy and our obligations under PIPEDA. Examples of transfers to service providers would be situations in which we contract with third parties to provide us with services such as archival file storage or insurance. Other examples would include cases where we engage other service providers such as expert witnesses or law firms in other jurisdictions on your behalf. Service providers may be located in various countries, so please be aware that authorized officials of governments in those countries may be lawfully able to access your personal information without your knowledge or consent pursuant to the laws of such countries. To the extent that we are permitted to do so by applicable law, we will notify you if we are advised that this access has occurred.

### **Accuracy of Your Personal Information**

It is important that the personal information that we have on file be accurate and up-to-date. If, during the course of the retainer, any of your personal information changes, please inform us so that we can make any necessary changes. We may also ask you from time to time whether your personal information is up-to-date.

### **Safeguards**

Cassels Brock uses various administrative and technological safeguards to ensure that your personal information is protected against loss, theft, misuse, unauthorized access, disclosure, copying or alteration. These include: security of our physical premises; our professional obligations; security software and firewalls to prevent unauthorized computer access or "hacking"; and internal passwords that restrict access to our electronic files.

### **Access to your Personal Information**

You have a right to challenge the accuracy and completeness of your personal information and to have it amended, as appropriate. You also have a right to request access to your personal information and receive an accounting of how that information has been used and disclosed, subject to certain exceptions prescribed by law. For example, if the requested information would reveal personal information about another individual, your access may be limited or denied. If your request for access is denied, Cassels Brock will notify you in writing of the reason for the denial.

To request access or to amend your personal information, please contact the lawyer or agent with whom you normally correspond or write to our Privacy Officer at the address below. Cassels Brock will respond within 30 days of receipt of your written request.

### **Challenging Compliance**

Cassels Brock will respond to inquiries about its policies and practices relating to its handling of your personal information. Inquiries should be directed to Cassels Brock's Privacy Officer using the contact information below. Cassels Brock will investigate all complaints and will respond within 30 days of receipt of a written inquiry. If the complaint is found to be justified, we will take appropriate measures to resolve it, including, if necessary, amending this Policy and its procedures.

## **Website Privacy**

Like most other organizations, we may monitor traffic patterns, site usage and related site information. Our website server will automatically collect IP addresses, and we may use a browser feature called a "cookie" to optimize your visit to our website. You may set your browser to turn cookies off during your use of any website and, in any event, when you close your browser the cookie is deleted. We do not link your IP address or cookies to other personally identifiable information.

By accessing and browsing our website, you agree that we may collect, use and disclose any personal information collected about you through our website as described in this Policy.

## **Privacy Officer**

If you have any questions or complaints about this Policy or the handling of your personal information, if you wish to withdraw your consent to our use of your personal information, or to request access to or update any information we have on file, please contact the lawyer or agent with whom you are dealing, or contact:

Peter Wismath  
Cassels Brock & Blackwell LLP  
Suite 2100, Scotia Plaza  
Toronto, ON M5H 3C2

[pwismath@casselsbrock.com](mailto:pwismath@casselsbrock.com)  
416 869 5315

## **Changes to this Privacy Policy**

We may change this Privacy Policy from time to time. Any changes will be posted on our website at [www.casselsbrock.com](http://www.casselsbrock.com) and will be made available upon request through your contact at Cassels Brock. Please check from time to time to ensure you are aware of our current policy. This Privacy Policy is current and reviewed on an annual basis.

APPENDIX I

2019 PROFESSIONAL LIABILITY INSURANCE APPLICATION AND  
EXEMPTION FORM SUBMITTED TO LAWPRO

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Name of Firm: Cassels Brock & Blackwell LLP



**\*\*\* FIRM SUMMARY \*\*\***

LAW SOCIETY OF ONTARIO

Page 1 of 7

To: CASSELS BROCK & BLACKWELL LLP  
SCOTIA PLAZA  
#2100 - 40 KING ST WEST  
TORONTO, ON M5H 3C2

Invoice Date: 06 DEC 2018  
Policy Effective/Due Date: 01 JAN 2019  
Total Amount: \$593,346.60  
Account: A034880

Attention: Accounts Payable

**\*\*\* PREMIUM DETAIL \*\*\***

Description	Amount
CLAIMS HISTORY LEVY SURCHARGE	\$12,500.00
ADJUSTED BASE-RATED PREMIUM	\$536,895.00
PST	\$43,951.60
*** TOTAL ***	=====
	\$593,346.60

**\*\*\* INSTALMENT SUMMARY \*\*\***

Instalment Date	Original Amount	Paid Amount	Open Amount
** PAYABLE 01 JAN 2019 **	\$593,346.60	\$0.00	\$593,346.60
*** TOTAL ***	=====	=====	=====
	\$593,346.60	\$0.00	\$593,346.60

Early payment discount: Before 06 FEB 2019, pay only: \$583,032.60  
After 06 FEB 2019, pay: \$593,346.60  
Save: \$10,314.00

-----  
(Detach and return with payment)

Account: A034880	Due Date: 01 JAN 2019
CASSELS BROCK & BLACKWELL LLP	Instalment Total: \$593,346.60
	Balance: \$593,346.60
Pay To: Law Society of Ontario	Amount Enclosed: _____
c/o Lawyers' Professional Indemnity Company	
250 Yonge Street	
Suite 3101, P.O. Box 3	
Toronto, ON M5B 2L7	

\* Please attach a copy of the Firm Summary to your cheque \*

**\*\*\* FIRM SUMMARY \*\*\***

Firm: A034880

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The following members are covered on this summary:

Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A011660O	PETERSON, DAVID ROBERT	\$3,294.00	\$3,294.00	\$3,294.00
A013475H	CRAIG, JOHN HUNTER	\$3,294.00	\$3,294.00	\$3,294.00
A015550F	BURDEN, WILLIAM JOHNSON	\$3,186.00	\$3,186.00	\$3,186.00
A016589I	ABRAMSON, LANNING JAY	\$6,102.00	\$6,102.00	\$6,102.00
A016808H	GOTLIEB, MAXWELL	\$3,294.00	\$3,294.00	\$3,294.00
A017904B	PLENER, SHELDON	\$3,294.00	\$3,294.00	\$3,294.00
A018514A	MANZER, ALISON ROSEMARY	\$3,294.00	\$3,294.00	\$3,294.00
A018593C	SELZNICK, STEPHEN IRA	\$3,402.00	\$3,402.00	\$3,402.00
A019650Q	PAGE, SIDNEY JOHN	\$3,294.00	\$3,294.00	\$3,294.00
A019947K	KLIGMAN, ROBERT DAVID	\$3,186.00	\$3,186.00	\$3,186.00
A020027U	PINOS, JOSEPH DAVID TIMOTHY	\$3,294.00	\$3,294.00	\$3,294.00
A020135M	YOUNG, MARK IAN	\$3,240.00	\$3,240.00	\$3,240.00
A021142G	STEINHART, GARY	\$3,294.00	\$3,294.00	\$3,294.00
A021789J	MINGAY, CAMERON ARTHUR	\$3,240.00	\$3,240.00	\$3,240.00
A021828Q	REEVE, JAMES BRIAN	\$3,294.00	\$3,294.00	\$3,294.00
A022845E	NORTON, CHRISTOPHER BRIAN	\$3,294.00	\$3,294.00	\$3,294.00
A022897M	SNIDER, KENNETH JUDD	\$3,186.00	\$3,186.00	\$3,186.00
A023072U	HALL, TERENCE DOUGLAS	\$3,294.00	\$3,294.00	\$3,294.00
A023256T	STEIN, PAUL MICHAEL	\$3,294.00	\$3,294.00	\$3,294.00
A024238L	SILVER, LORNE STEPHEN	\$5,994.00	\$5,994.00	\$5,994.00
A025356I	GLICKMAN, LEONARD	\$3,294.00	\$3,294.00	\$3,294.00
A025675Q	ROY, JEFFREY PAUL	\$3,294.00	\$3,294.00	\$3,294.00
A025728B	MERCER, CATHY LEE	\$3,294.00	\$3,294.00	\$3,294.00
A025846K	KARN, BERNICE MABELLE	\$3,294.00	\$3,294.00	\$3,294.00
A026202G	GRIEVE, DEBORAH SUSAN	\$3,294.00	\$3,294.00	\$3,294.00
A026367J	SHAW, GEOFFREY BRACKLEY	\$3,240.00	\$3,240.00	\$3,240.00
A026798Q	ALTER, MATTHEW RICHARD	\$3,294.00	\$3,294.00	\$3,294.00
A027474Q	REDMOND, DAVID ANTHONY	\$8,802.00	\$8,802.00	\$8,802.00
A027732S	SWICK, BRENDA CAROL	\$3,294.00	\$3,294.00	\$3,294.00
A028484V	JASON, JOHN RICHARD	\$3,294.00	\$3,294.00	\$3,294.00
A029476H	FLEISHER, JONATHAN EMMANUEL	\$3,294.00	\$3,294.00	\$3,294.00
A029609R	MORAND, JAMES GERARD	\$3,294.00	\$3,294.00	\$3,294.00
A029730C	WATTERWORTH, ANN LOUISE	\$3,294.00	\$3,294.00	\$3,294.00
A029839C	DOMINIQUE, BRIAN PATRICK	\$3,402.00	\$3,402.00	\$3,402.00
A030156D	WEINBERG, LAWRENCE MARK	\$3,294.00	\$3,294.00	\$3,294.00

### \*\*\* FIRM SUMMARY \*\*\*

Firm: A034880

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Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A030670L	GOLDMAN, JASON IRA	\$3,294.00	\$3,294.00	\$3,294.00
A030729S	KUKULOWICZ, ROBERT SHAYNE	\$3,186.00	\$3,186.00	\$3,186.00
A031351O	WUNDER, MICHAEL JOHN	\$3,402.00	\$3,402.00	\$3,402.00
A032187D	COHEN, ROBERT BRIAN	\$3,294.00	\$3,294.00	\$3,294.00
A032266J	GOODMAN, GORDON PETER	\$3,294.00	\$3,294.00	\$3,294.00
A032748J	BERMAN, WENDY RUTH	\$3,294.00	\$3,294.00	\$3,294.00
A033541W	WARD, DAVID STUART	\$3,294.00	\$3,294.00	\$3,294.00
A035009R	MERCIER, RONALD JOSEPH MARCUS	\$3,402.00	\$3,402.00	\$3,402.00
A035302P	REBACK, ANDREW MAXWELL	\$3,294.00	\$3,294.00	\$3,294.00
A036620P	BENNETT, MARK TORRANCE	\$3,294.00	\$3,294.00	\$3,294.00
A036951J	VETTESE, JOHNNY PAUL	\$3,294.00	\$3,294.00	\$3,294.00
A037339O	TAYLOR, KRISTIN RACHELL	\$3,294.00	\$3,294.00	\$3,294.00
A038968U	BIRCH, JOHN NICHOLAS	\$3,402.00	\$3,402.00	\$3,402.00
A039215F	NEWMAN, JOHN CHARLES ROSS	\$3,294.00	\$3,294.00	\$3,294.00
A039474W	HAMILTON, ARTHUR LLOYD	\$3,294.00	\$3,294.00	\$3,294.00
A040416E	DELUCA, FRANCIS ALBERT	\$3,294.00	\$3,294.00	\$3,294.00
A040502Q	KAPSALES, TOMMY	\$3,402.00	\$3,402.00	\$3,402.00
A040901D	ILIOPOULOS, ALEXANDRA	\$3,294.00	\$3,294.00	\$3,294.00
A040976K	OSBORNE, WILLIAM MICHAEL GRANT	\$3,294.00	\$3,294.00	\$3,294.00
A041014R	SALEM, ANDREW EMILE	\$3,402.00	\$3,402.00	\$3,402.00
A041656R	DE COSIMO, MICHAEL CHRISTOPHER	\$3,294.00	\$3,294.00	\$3,294.00
A041824A	BOIVIN, ANDRE MARCEL	\$3,294.00	\$3,294.00	\$3,294.00
A041858M	JACKSON, LARA MARY BESS	\$3,294.00	\$3,294.00	\$3,294.00
A042178M	ARBUCK, SIDNEY JASON	\$3,294.00	\$3,294.00	\$3,294.00
A042203S	BULLEN, JASON KENNETH SPERO	\$3,294.00	\$3,294.00	\$3,294.00
A042258O	HOGAN, GREGORY JOSEPH	\$3,294.00	\$3,294.00	\$3,294.00
A042811G	CAMPBELL, JENNIFER LYNNE	\$3,294.00	\$3,294.00	\$3,294.00
A042930L	RICH, CHARLES BRADLEY	\$3,402.00	\$3,402.00	\$3,402.00
A042973V	FITZGERALD, ANDREA CATHERINE	\$3,294.00	\$3,294.00	\$3,294.00
A043080N	HERSH, CHRISTOPHER MICHAEL	\$3,294.00	\$3,294.00	\$3,294.00
A043511B	LAPALME, LAURIE CHANTAL	\$3,294.00	\$3,294.00	\$3,294.00
A044483S	ACCURSI, GARY CHAD	\$3,294.00	\$3,294.00	\$3,294.00
A044563U	GROUND, COLIN WILLIAM	\$5,994.00	\$5,994.00	\$5,994.00
A044616F	MANIACI, SEAN ANTHONY SAL	\$3,294.00	\$3,294.00	\$3,294.00
A045362J	CLEMENTS, LINDSAY ANN	\$3,294.00	\$3,294.00	\$3,294.00
A045423T	HARDY, SHANE DAVID	\$3,294.00	\$3,294.00	\$3,294.00



**\*\*\* FIRM SUMMARY \*\*\***

Firm: A034880

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Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A045455Q	LEISK, SIGNE BLANKA	\$3,402.00	\$3,402.00	\$3,402.00
A045616Q	CHUMMAR, NOBLE CYRIL	\$3,294.00	\$3,294.00	\$3,294.00
A045862F	LYSY, ROBERT MICHAEL	\$3,294.00	\$3,294.00	\$3,294.00
A046555R	BELLISSIMO, JOSEPH JAMES	\$3,402.00	\$3,402.00	\$3,402.00
A046572R	CHISICK, CASEY MARK	\$3,294.00	\$3,294.00	\$3,294.00
A046978W	RONDE, DEREK BART CHARLES	\$3,294.00	\$3,294.00	\$3,294.00
A047837C	KNOL, LINDA IRENE	\$3,294.00	\$3,294.00	\$3,294.00
A048080W	JESSOME, LAURIE BRIDGET	\$3,240.00	\$3,240.00	\$3,240.00
A048716Q	HAYMAN, ALISON JEAN	\$3,240.00	\$3,240.00	\$3,240.00
A048930I	GEARY, NICOLA ELIZABETH	\$3,186.00	\$3,186.00	\$3,186.00
A049302U	DIETRICH, JANE OLIVE	\$3,402.00	\$3,402.00	\$3,402.00
A049313K	ELLIS, LARRY CHRISTOPHER	\$3,294.00	\$3,294.00	\$3,294.00
A049330K	HENEIN, PETER JOSEPH	\$3,294.00	\$3,294.00	\$3,294.00
A049559L	GRAY, TILLY	\$3,294.00	\$3,294.00	\$3,294.00
A049784Q	FRANKEL, THEODORE BLOCH	\$3,294.00	\$3,294.00	\$3,294.00
A049821I	KING, JAY FRANCIS	\$3,294.00	\$3,294.00	\$3,294.00
A049932L	UUKKIVI, RAIVO	\$3,402.00	\$3,402.00	\$3,402.00
A050708C	CHOI, NANCY	\$3,294.00	\$3,294.00	\$3,294.00
A051289L	FREEMAN, JONATHAN	\$3,402.00	\$3,402.00	\$3,402.00
A052626H	NICHOLAS, ALOYSIUS CHANDIMAL	\$3,294.00	\$3,294.00	\$3,294.00
A052723J	WOOLFORD, LUKE STEPHEN	\$3,294.00	\$3,294.00	\$3,294.00
A052864R	JAKIBCHUK, ADRIAN DANIEL	\$3,240.00	\$3,240.00	\$3,240.00
A053817A	PIZALE, JOHN ALEXANDER	\$3,294.00	\$3,294.00	\$3,294.00
A055767C	BLACKBURN, JEDIDIAH MONTEGU	\$3,402.00	\$3,402.00	\$3,402.00
A055957L	ROBINSON, FRANK SAUL	\$3,294.00	\$3,294.00	\$3,294.00
A055958H	ROBINSON, GAVIN TODD ASHLEY	\$3,402.00	\$3,402.00	\$3,402.00
A056576I	KENNEDY, STEVEN NOEL	\$3,240.00	\$3,240.00	\$3,240.00
A057154K	KHULLAR, SHAUNIK	\$3,294.00	\$3,294.00	\$3,294.00
A057297K	WINCH, MELISSA ERICA	\$3,402.00	\$3,402.00	\$3,402.00
A057305Q	ZAGAR-DRISCOLL, JESSICA ANNE	\$3,294.00	\$3,294.00	\$3,294.00
A057316G	ABUDULAI, SUHUYINI HADIA	\$3,294.00	\$3,294.00	\$3,294.00
A057488P	LUNGU, MICHAEL MOSHE	\$3,294.00	\$3,294.00	\$3,294.00
A057579H	SMITH, MARAH STEPHANIE	\$3,294.00	\$3,294.00	\$3,294.00
A058204S	HOLLAND, STEFANIE ANN	\$3,294.00	\$3,294.00	\$3,294.00
A058345D	LEUNG LIM, ADRIA WAI- LAN	\$3,294.00	\$3,294.00	\$3,294.00
A058406N	PICONE, JOHN MICHAEL	\$3,294.00	\$3,294.00	\$3,294.00

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Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A058577D	GARDOS, DAVID PETER	\$3,294.00	\$3,294.00	\$3,294.00
A058608I	HYLTON, MARLON GERMAINE	\$3,294.00	\$3,294.00	\$3,294.00
A058663P	MAYZEL, ERIC ALAN	\$3,294.00	\$3,294.00	\$3,294.00
A059037T	PILKINGTON, ADRIANNA MONIQUE	\$3,402.00	\$3,402.00	\$3,402.00
A059510J	JACOBS, RYAN COREY	\$3,294.00	\$3,294.00	\$3,294.00
A059912H	PENDRITH, COLIN DAVID	\$3,294.00	\$3,294.00	\$3,294.00
A060137J	JIMENO, NATASHA LEI	\$3,402.00	\$3,402.00	\$3,402.00
A060619J	WASYLYK, JENNIFER ASHLEY	\$3,294.00	\$3,294.00	\$3,294.00
A061177T	SULLIVAN, PETER JOSEPH GREGORY	\$3,294.00	\$3,294.00	\$3,294.00
A061421U	BROWN, GRAHAM RICHARD	\$3,402.00	\$3,402.00	\$3,402.00
A061610K	MARTIN, JEREMY MICHAEL	\$3,294.00	\$3,294.00	\$3,294.00
A061877S	HOJILLA, PAMELA GAY M.	\$3,294.00	\$3,294.00	\$3,294.00
A061880R	HORKINS, CHRISTOPHER BRINDLEY	\$3,294.00	\$3,294.00	\$3,294.00
A061984O	RAMGOOLAM, ROSSI RYAN	\$3,402.00	\$3,402.00	\$3,402.00
A062390D	ST. CYR, MARK ANDRE	\$3,402.00	\$3,402.00	\$3,402.00
A062828J	CRADDOCK, ERIN MICHELLE	\$3,186.00	\$3,186.00	\$3,186.00
A063638L	SASSI, MONIQUE	\$3,294.00	\$3,294.00	\$3,294.00
A063639H	ENGLE-HARDY, SARAH NOELLE	\$3,294.00	\$3,294.00	\$3,294.00
A063748S	LI, MING-LAI TERA	\$2,284.20	\$2,284.20	\$2,284.20
A063778A	MIKELBERG, JEFFREY PHILLIP	\$3,294.00	\$3,294.00	\$3,294.00
A063847M	RUSSELL, CAITLIN ANNE	\$3,294.00	\$3,294.00	\$3,294.00
A064124C	LEVINSKY, DEREK WILLIAM	\$3,294.00	\$3,294.00	\$3,294.00
A064160R	MOHAJER-ASHJAI, ARDAVAN	\$3,402.00	\$3,402.00	\$3,402.00
A064239M	SOMANI, ALY-KHAN	\$3,294.00	\$3,294.00	\$3,294.00
A064355G	COHEN, CARLY ELYSE	\$3,294.00	\$3,294.00	\$3,294.00
A064583W	ROBERTS, STEPHANIE KATHRYN-MAY	\$3,294.00	\$3,294.00	\$3,294.00
A064743D	POTTER, CARLA ELIZABETH	\$3,402.00	\$3,402.00	\$3,402.00
A064908K	LEVINE, NATALIE ELAINE	\$3,402.00	\$3,402.00	\$3,402.00
A064915Q	LITCHEN, JAMIE ALEXANDRA	\$3,294.00	\$3,294.00	\$3,294.00
A065231J	OMOKHODION, PATIENCE NWANNE	\$3,294.00	\$3,294.00	\$3,294.00
A065384D	SIMPSON, KYLE JOSEPH	\$3,294.00	\$3,294.00	\$3,294.00
A065425C	BORNSTEIN, JEREMY DAVID	\$3,294.00	\$3,294.00	\$3,294.00
A065457W	CONSTANTINE, MARIA CATHERINE	\$3,240.00	\$3,240.00	\$3,240.00
A065514C	GIBSON, CHRISTIE ELIZABETH	\$3,294.00	\$3,294.00	\$3,294.00
A065534N	HENDERSON, STEPHEN JAMES BURRO	\$3,240.00	\$3,240.00	\$3,240.00
A065702T	SELBY, CHRISTOPHER CARL	\$3,294.00	\$3,294.00	\$3,294.00

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Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A065752M	VOUDOURIS, STEPHANIE STELLA	\$3,294.00	\$3,294.00	\$3,294.00
A065838N	COGGIN, MARISA MARIE	\$3,294.00	\$3,294.00	\$3,294.00
A066146E	WOODMAN, BRYAN ROBERT	\$3,294.00	\$3,294.00	\$3,294.00
A066430E	PHILLIPS, JACKSON EDWARD NIXON	\$3,294.00	\$3,294.00	\$3,294.00
A067047V	MARSHALL, NATHANIEL BROCK FITZ	\$3,294.00	\$3,294.00	\$3,294.00
A067655J	ALVARO, MICHAEL ANTHONY	\$2,656.80	\$2,656.80	\$2,656.80
A067695I	BYERS, KATHLEEN NOEL	\$2,656.80	\$2,656.80	\$2,656.80
A068041K	CIPOLLONE, DANIEL PHILIP	\$2,602.80	\$2,602.80	\$2,602.80
A068146D	LAI, KRISTEN SEI-TING	\$2,338.20	\$2,338.20	\$2,338.20
A068406U	FENDER, HILARY KAITLYN	\$2,656.80	\$2,656.80	\$2,656.80
A068438R	HINMAN, PAMELA JANE	\$2,656.80	\$2,656.80	\$2,656.80
A068574W	SHERMAN, JONATHAN DANIEL	\$2,656.80	\$2,656.80	\$2,656.80
A069939U	CHEN, XI	\$2,338.20	\$2,338.20	\$2,338.20
A070041V	LESZCZ, NOAH SAMUEL	\$2,338.20	\$2,338.20	\$2,338.20
A070051P	LYLE, JAMES WILLIAM	\$2,338.20	\$2,338.20	\$2,338.20
A070229E	BLOM, RANDALL HARDWIN	\$2,230.20	\$2,230.20	\$2,230.20
A070230L	BLUMBERG, ROBYN ERIN	\$2,338.20	\$2,338.20	\$2,338.20
A070303H	GOODIS, BENJAMIN RYAN	\$2,230.20	\$2,230.20	\$2,230.20
A070336A	KELMAN, DAVID LEE	\$2,338.20	\$2,338.20	\$2,338.20
A070468K	TABI, KWAKU ALBERT KODIE	\$2,338.20	\$2,338.20	\$2,338.20
A070597J	GROSSMAN, LAUREN ELYSE	\$2,338.20	\$2,338.20	\$2,338.20
A071003C	MURPHY, ALEXANDRA ELIZABETH FR	\$2,338.20	\$2,338.20	\$2,338.20
A071053S	SEBANC, STEFANIE LYNN	\$2,338.20	\$2,338.20	\$2,338.20
A072188E	DIPARDO, DANIELLE MARIE	\$2,019.60	\$2,019.60	\$2,019.60
A072317H	MOHER, SOPHIE HOPE	\$1,911.60	\$1,911.60	\$1,911.60
A072541Q	EL OFIR, MIRIAM	\$2,019.60	\$2,019.60	\$2,019.60
A072837O	CIARLARIELLO, MARCO JOSEPH	\$2,019.60	\$2,019.60	\$2,019.60
A072890G	GROENEWALD, ROWAN SULLIVAN	\$2,019.60	\$2,019.60	\$2,019.60
A072958L	MASSIE, SAMUEL MACKENZIE	\$2,019.60	\$2,019.60	\$2,019.60
A073009A	POLITANO, STEFAN CHRISTOPHER	\$2,019.60	\$2,019.60	\$2,019.60
A073092U	WEIZEL, MICHAEL MAURICE ALLAN	\$2,019.60	\$2,019.60	\$2,019.60
A073238M	KERZNER, STEPHANIE MIA	\$2,019.60	\$2,019.60	\$2,019.60
A073282G	METALLO, AMANDA MARIA	\$2,019.60	\$2,019.60	\$2,019.60
A073313L	PODOLSKY, CHAD DANIEL	\$2,019.60	\$2,019.60	\$2,019.60
A073338C	SCOLIERI, AMANDA ELVIRA	\$2,019.60	\$2,019.60	\$2,019.60
A073694V	TALLIM, MICHAEL RESHAUN	\$3,186.00	\$3,186.00	\$3,186.00



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Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A074651W	LAU, FLORENCE PUI YA	\$1,701.00	\$1,701.00	\$1,701.00
A074657V	LEE, HONG WING CONRAD	\$1,701.00	\$1,701.00	\$1,701.00
A074715U	NGO, RICHARD	\$1,701.00	\$1,701.00	\$1,701.00
A074798G	SINGH, DAVID PATRICK POWER	\$1,701.00	\$1,701.00	\$1,701.00
A074885O	ANAND, GAURAV	\$1,701.00	\$1,701.00	\$1,701.00
A074914E	BLAIR, LUCAS SAMSON CLEMENT	\$1,809.00	\$1,809.00	\$1,809.00
A074926N	BRITTON, JAMES MICHAEL	\$1,701.00	\$1,701.00	\$1,701.00
A075184H	O'BRIEN, TEGAN ANNE	\$1,701.00	\$1,701.00	\$1,701.00
A075235A	ROURKE, MEGHAN TEDDY	\$1,809.00	\$1,809.00	\$1,809.00
A075277O	SOKOLOFF, SAM TAYLOR	\$1,701.00	\$1,701.00	\$1,701.00
A075500I	HAYE-ASHMEADE, TASCHINA CHANEL	\$1,701.00	\$1,701.00	\$1,701.00
A075663T	QIN, YI JASMINE	\$1,701.00	\$1,701.00	\$1,701.00

191 members are listed on the summary

Instalment Amount:	\$593,346.60
Total Premium:	\$593,346.60
Outstanding Amount:	\$593,346.60